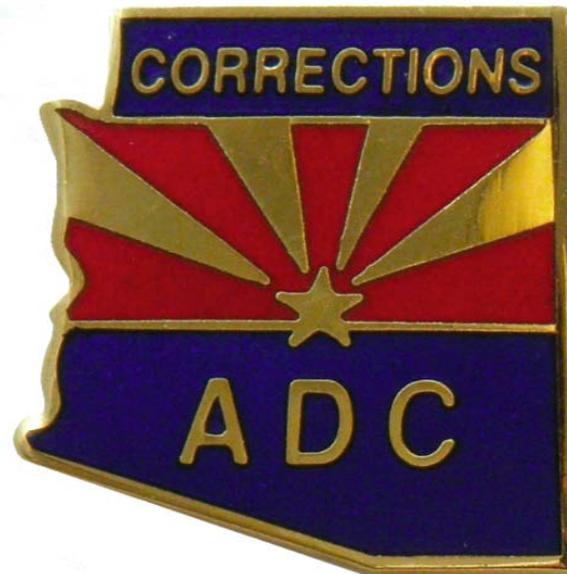


ARIZONA DEPARTMENT OF CORRECTIONS

Charles L. Ryan
Director



FISCAL YEAR 2011

ADC Data & Information

AS OF JUNE 30, 2011

**ARIZONA DEPARTMENT OF CORRECTIONS
FISCAL YEAR 2011 DATA & INFORMATION**

	<u>Page</u>		<u>Page</u>
1. Recommendation Comparisons	1-1	8. 5,000 New Private Beds	8-1
2. Population Growth	2-1	9. Health Services Privatization	9-1
3. Inmate Profile	3-1	10. Per Capita	10-1
• Inmate Custody Profile	3-1	11. Bed Plan	11-1
• Criminal Alien Cost/ SCAAP Awards	3-4	12. Inmate Classification	12-1
4. FTE Positions	4-1	13. Agency Overview	13-1
5. Assault Data	5-1	• Authority	13-1
• Security Post Staffing	5-1	• Organization Chart	13-2
• Assault Charts	5-2	• Organizational Overview	13-3
6. Community Supervision	6-1	• Facilities by Mission, Security Level	13-5
7. Inmate Programs	7-1	• Institutional Capacity & Committed Population	13-6
• Inmate Education	7-1	• Corrections at a Glance	13-7
• Counseling & Treatment	7-4		
• Arizona Correctional Industries	7-6		
• Religious Services	7-9		
• Inmate Work Programs/Work Incentive Pay Program	7-10		
• Mandated vs. Non-Mandated Programs	7-13		

1. Recommendation Comparisons

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2012 OPERATING BUDGET REQUEST COMPARISON**

FUNDING ISSUES	GOVERNOR'S RECOMMENDATION		JLBC BASELINE		APPROVED BUDGET ³	
	FTE 10,015.2	AMOUNT 992,346,900	FTE 10,015.2	AMOUNT 992,346,900	FTE 10,015.2	AMOUNT 992,346,900
FY 2011 APPROPRIATION						
DECISION PACKAGES						
4,000 New State Beds Annualization - Operating Costs	0.0	4,989,800	0.0	4,989,800	0.0	4,989,800
4,000 New State Beds Annualization - Start-Up Costs	0.0	(7,051,900)	0.0	(7,051,900)	0.0	(7,051,900)
4,000 New State Beds Annualization - Cert. of Part.	0.0	6,107,100	0.0	6,304,100	0.0	6,304,100
Correctional Officer II Staffing Requirements	102.0	8,442,500 ¹	0.0	-	0.0	- ¹
Close Provisional Beds/Marginal Costs - Bed Costs	0.0	(8,359,600)	0.0	(8,359,600)	0.0	(8,359,600)
Close Provisional Beds/Marginal Costs - Marginal Costs	0.0	7,558,200	0.0	-	0.0	9,199,900
Leap Year Costs	0.0	800,100	0.0	-	0.0	800,100
Medical Transportation/ Hospital Coverage	0.0	- ¹	0.0	-	0.0	-
FCC Narrowband Radio Conversion Mandate by January, 2013	0.0	5,750,000 ²	0.0	-	0.0	5,750,000 ²
Authorized FTE for Funded Positions	223.0	-	0.0	-	0.0	-
Private Prison SLI Reduction	0.0	(4,666,000)	0.0	(4,666,000)	0.0	(4,666,000)
TOTAL DECISION PACKAGE ISSUES	325.0	13,570,200	0.0	(8,783,600)	0.0	6,966,400
OTHER ISSUES						
Risk Management	0.0	(882,600)	0.0	-	0.0	(883,800)
Lease Purchase Adjustment	0.0	-	0.0	-	0.0	(613,800)
County Jail	0.0	-	0.0	-	0.0	- ⁴
Building Renewal & Preventative Maintenance	0.0	5,194,500	0.0	-	0.0	4,630,500 ⁵
27th Pay Period		YES		YES		18,826,700
Eliminate Furlough/Funding to Restore Furlough		YES		NO		2,750,000 ⁶
Additional PS/ERE Cuts - TBD & Allocated By ADOA/JLBC		NO		NO		(2,056,000)
Retirement Contribution Shift (employee impact)		NO		NO		(753,200)
Health Insurance Adjustment		NO		NO		3,138,300
New Hire Benefit Waiting Period (employee impact)		NO		NO		(2,057,100)
Health Savings Accounts		NO		NO		YES
TOTAL OTHER FUNDING ISSUES	0.0	4,311,900	0.0	-	0.0	22,981,600
TOTAL FY 2011 FUNDING ISSUES	325.0	17,882,100	0.0	(8,783,600)	0.0	29,948,000
FY 2012 APPROPRIATION	10,340.2	1,010,229,000	10,015.2	983,563,300	10,015.2	1,022,294,900

¹ The Executive budget recommend a 3 year phase-in of both the Correctional Officer Staffing Requirements and the Medical Transport issues. **The approved budget fails to authorize the FTE and required funding for this request.**

² It is the intent of the Executive Recommendation & negotiated budget to fund this difference in funding from Homeland Security Grants.

³ Based on approved budget bills.

⁴ Implementation of the county jail policy would be delayed until July 1, 2012 (FY 2013). The potential reduction of \$55M has been restored.

⁵ \$4,630,500 included in the ADOA Capital Budget for prison repair and maintenance.

⁶ The furlough days were eliminated. The negotiated budget allocates \$5,250,000 (Transition Program Fund - \$750,000, Transition Services Fund \$2,000,000, Inmate Store Profit Fund - \$2,500,000) to fund the furlough cost. Authority to spend the funding from the Transition Funds for operating costs was included in the criminal justice BRB (SB 1621).

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2012 FUND BALANCE TRANSFERS COMPARISON**

#	FUND	GOVERNOR'S RECOMMENDATION		JLBC BASELINE		APPROVED BUDGET					
		FRAT	ONE-TIME	FRAT	ONE-TIME	FRAT	ONE-TIME	PAY SAVINGS	DELAYED BENEFITS	RETIREMENT TRANSFERS	TOTAL
	APPROPRIATED										
1000	General Fund	-	-	-	-	-	-	-	-	-	-
2204	Alcohol Abuse Treatment Fund	-	-	-	-	-	-	-	-	-	-
2088	Corrections Fund	-	-	-	-	-	-	-	-	-	-
3140	Penitentiary Land Fund	-	-	-	-	-	-	-	-	-	-
2504	Prison Construction & Operations Fund	-	-	-	-	-	-	-	-	-	-
3141	State Charitable Land Fund	-	-	-	-	-	-	-	-	-	-
2107	State Education Fund	4,400	750,000	4,400	-	4,400	750,000	500	1,800	2,600	759,300
2379	Transition Program Fund	-	750,000	-	-	-	-	-	-	-	-
2383	Transition Services Fund	-	2,000,000	-	-	-	-	-	-	-	-
	TOTAL APPROPRIATED FUNDS	<u>4,400</u>	<u>3,500,000</u>	<u>4,400</u>	<u>0</u>	<u>4,400</u>	<u>750,000</u>	<u>500</u>	<u>1,800</u>	<u>2,600</u>	<u>759,300</u>
	NON-APPROPRIATED										
2000	Federal Grants Fund	-	-	-	-	-	-	-	-	-	-
2395	Community Corrections Enhancement Fund	-	500,000	-	-	-	500,000	-	-	-	500,000
2500	IGA & ISA Fund	2,500	-	2,500	-	2,500	-	300	200	300	3,300
2505	Inmate Store Profit Fund	-	2,500,000	-	-	-	-	-	-	-	-
2515	DOC Revolving Fund	-	2,000,000	-	-	-	2,000,000	-	-	-	2,000,000
3147	Donations Fund	-	-	-	-	-	-	-	-	-	-
3187	Special Services (A&R) Fund	-	-	-	-	-	-	-	-	-	-
3748	Risk Management Fund	-	-	-	-	-	-	-	-	-	-
4002	ACI Revolving Fund	293,500	-	-	-	293,500	-	34,800	31,700	47,600	407,600
9000	Indirect Cost Recovery Fund	800	-	800	-	800	-	100	100	100	1,100
	TOTAL NON-APPROPRIATED FUNDS	<u>296,800</u>	<u>5,000,000</u>	<u>3,300</u>	<u>0</u>	<u>296,800</u>	<u>2,500,000</u>	<u>35,200</u>	<u>32,000</u>	<u>48,000</u>	<u>2,912,000</u>
	TOTAL FUNDS	<u>301,200</u>	<u>8,500,000</u>	<u>7,700</u>	<u>0</u>	<u>301,200</u>	<u>3,250,000</u>	<u>35,700</u>	<u>33,800</u>	<u>50,600</u>	<u>3,671,300</u>

ARIZONA DEPARTMENT OF CORRECTIONS
INMATE POPULATION GROWTH
As of June 30, 2011

FISCAL YEAR MONTHLY NET GROWTH AND AVERAGE									
MONTH	FY 2003	FY 2004	FY 2005	FY 2006	FY 2007	FY 2008	FY 2009	FY 2010	FY 2011
July	113	147	143	148	61	128	8	130	(28)
August	213	142	54	(7)	228	266	220	53	(245)
September	165	18	169	181	200	75	142	6	75
October	(344)	122	288	176	330	79	244	165	80
November	98	18	122	60	119	(18)	(12)	(76)	(80)
December	(159)	(18)	108	249	60	128	3	(146)	(149)
January	141	4	(111)	(66)	(75)	91	240	(41)	(102)
February	253	61	(117)	160	135	263	111	(123)	2
March	256	277	248	387	429	262	156	128	122
April	408	46	33	202	237	232	155	127	59
May	154	66	(6)	342	206	225	203	(24)	7
June	170	7	102	301	361	78	45	(134)	(37)
TOTAL	1,468	890	1,033	2,133	2,291	1,809	1,515	65	(296)
FISCAL YR AVERAGE	122	74	86	178	191	151	126	5	(25)

CALENDAR YEAR MONTHLY NET GROWTH AND AVERAGE									
MONTH	2003	2004	2005	2006	2007	2008	2009	2010	2011
January	141	4	(111)	(66)	(75)	91	240	(41)	(102)
February	253	61	(117)	160	135	263	111	(123)	2
March	256	277	248	387	429	262	156	128	122
April	408	46	33	202	237	232	155	127	59
May	154	66	(6)	342	206	225	203	(24)	7
June	170	7	102	301	361	78	45	(134)	(37)
July	147	143	148	61	128	8	130	(28)	
August	142	54	(7)	228	266	220	53	(245)	
September	18	169	181	200	75	142	6	75	
October	122	288	176	330	79	244	165	80	
November	18	122	60	119	(18)	(12)	(76)	(80)	
December	(18)	108	249	60	128	3	(146)	(149)	
TOTAL	1,811	1,345	956	2,324	1,951	1,756	1,042	(414)	51
CALENDAR YR AVG.	151	112	80	194	163	146	87	(35)	9

Inmate Growth in FY 2010 and FY 2011 Dramatically Declined.

▶ ADC grew by **65** inmates in FY 2010 and declined **(296)** inmates in FY 2011:

June 30, 2011 count: **40,181**

June 30, 2010 count: **40,477**

June 30, 2009 count: **40,412**



Average monthly growth in FY 2011:
(25)



Average monthly growth from FY 2002 to FY 2011:
107



FY 2010 and FY 2011 had the two lowest growth rates ADC has on record (going back to 1973)

FY 2012 Growth will be comparable.

Calendar Year 2011 Net Growth

as of 06/30/2011

Total	Male	Female
51	124	(73)

Fiscal Year 2011 Net Growth

as of 06/30/2011

Total	Male	Female
(296)	(167)	(129)

Why did this happen?

National Trends

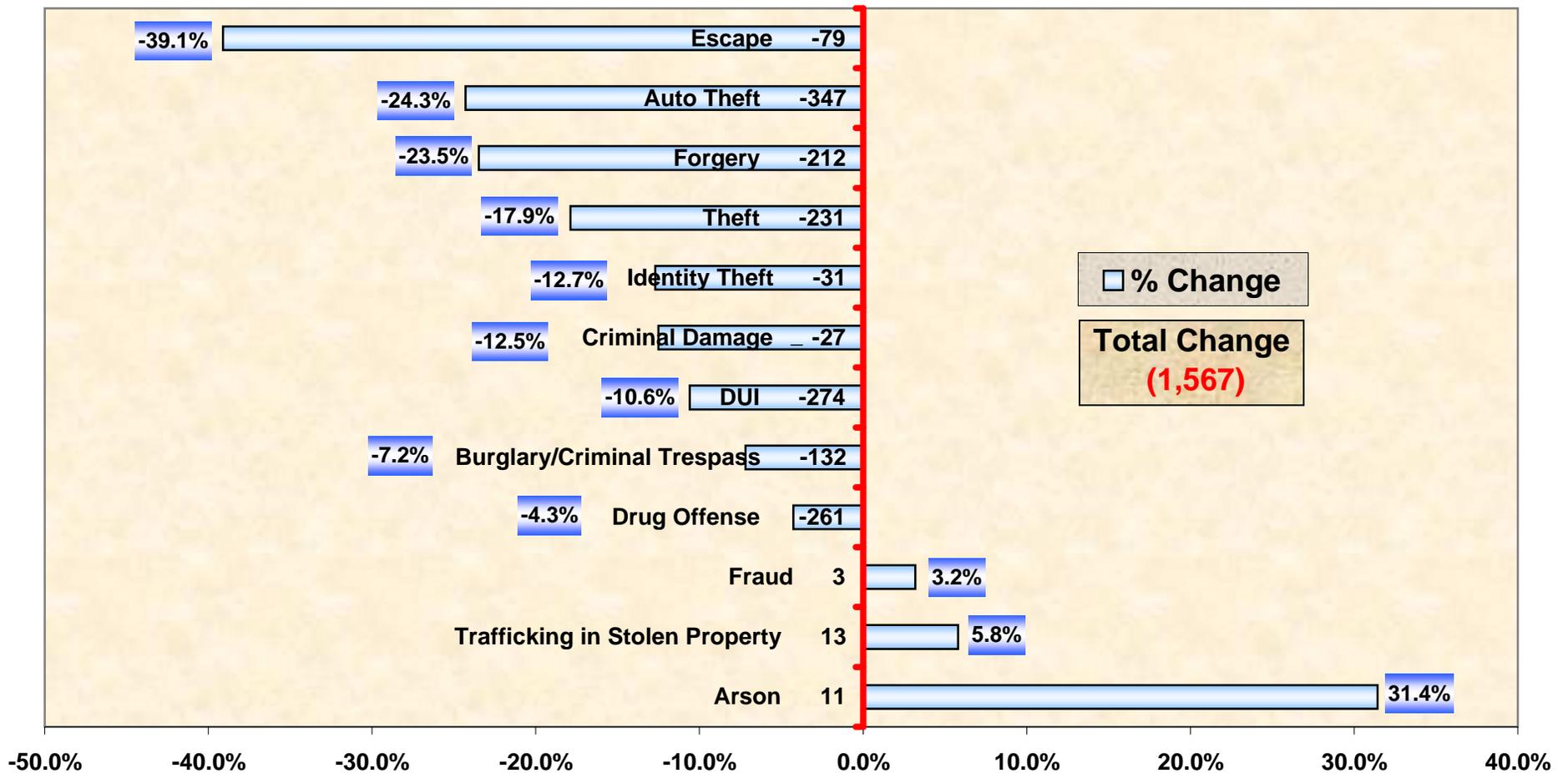
- CY 2009 First Decline in State Prison Populations in 38 Years (Pew Center on the States)
- Economic Slowdown has encouraged alternatives to incarceration.

Arizona Factors

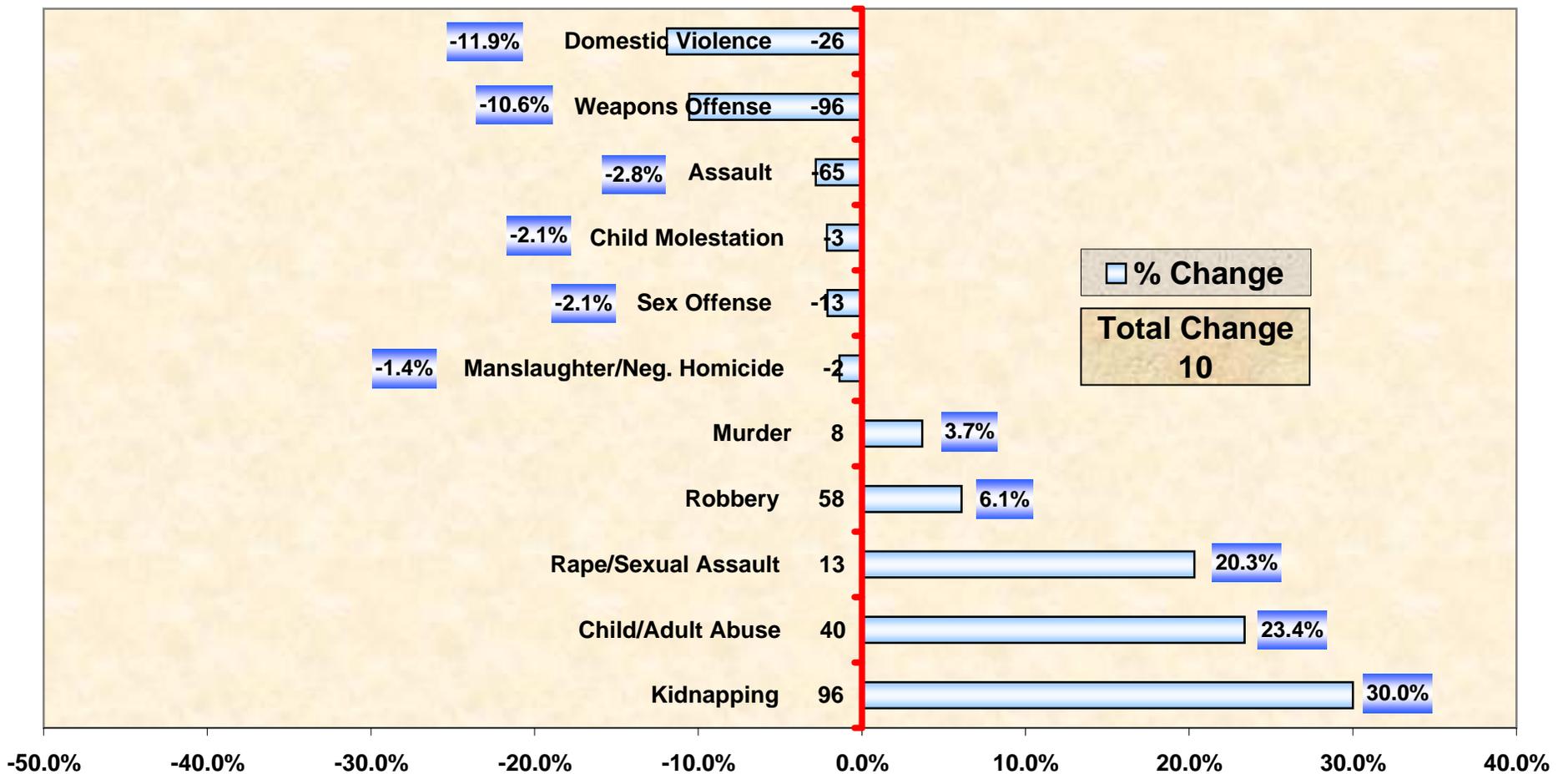
- Dramatic Decrease in Maricopa County Jail population. Maricopa County Pre-Trial Felony Detainees decreased from an average daily population of 5,873 in CY 08, to 4,744 in CY10, YTD a 19% decrease.
- Technical probation revocations have fallen from 3,993 in FY 08 to 2,665 in FY 11.

Arizona Department of Corrections

% Change in Commitment Offenses - Non Violent FY 2009 to FY 2010

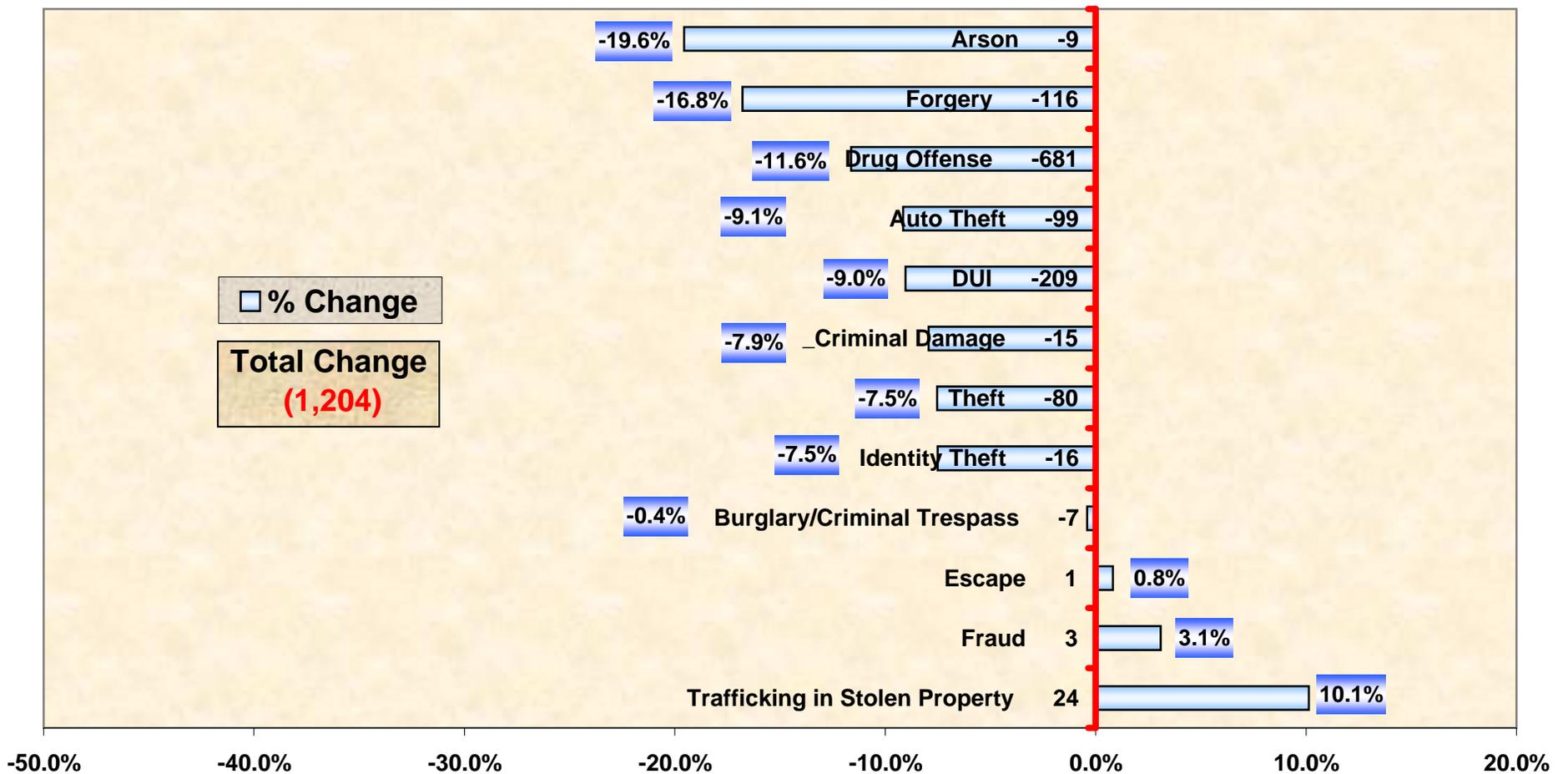


Arizona Department of Corrections % Change in Commitment Offenses - Violent FY 2009 to FY 2010



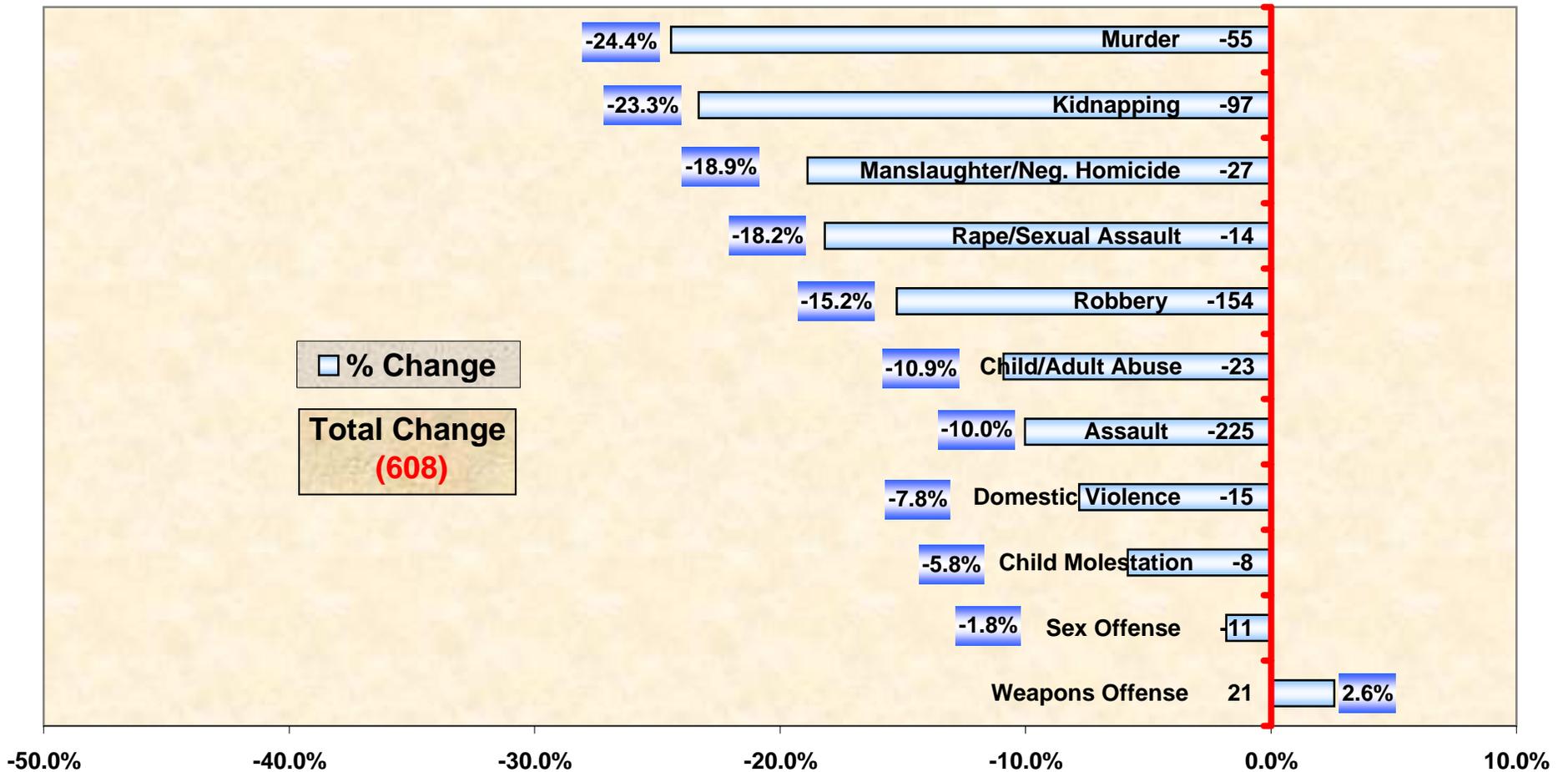
Arizona Department of Corrections

% Change in Commitment Offenses - Non Violent FY 2010 to FY 2011



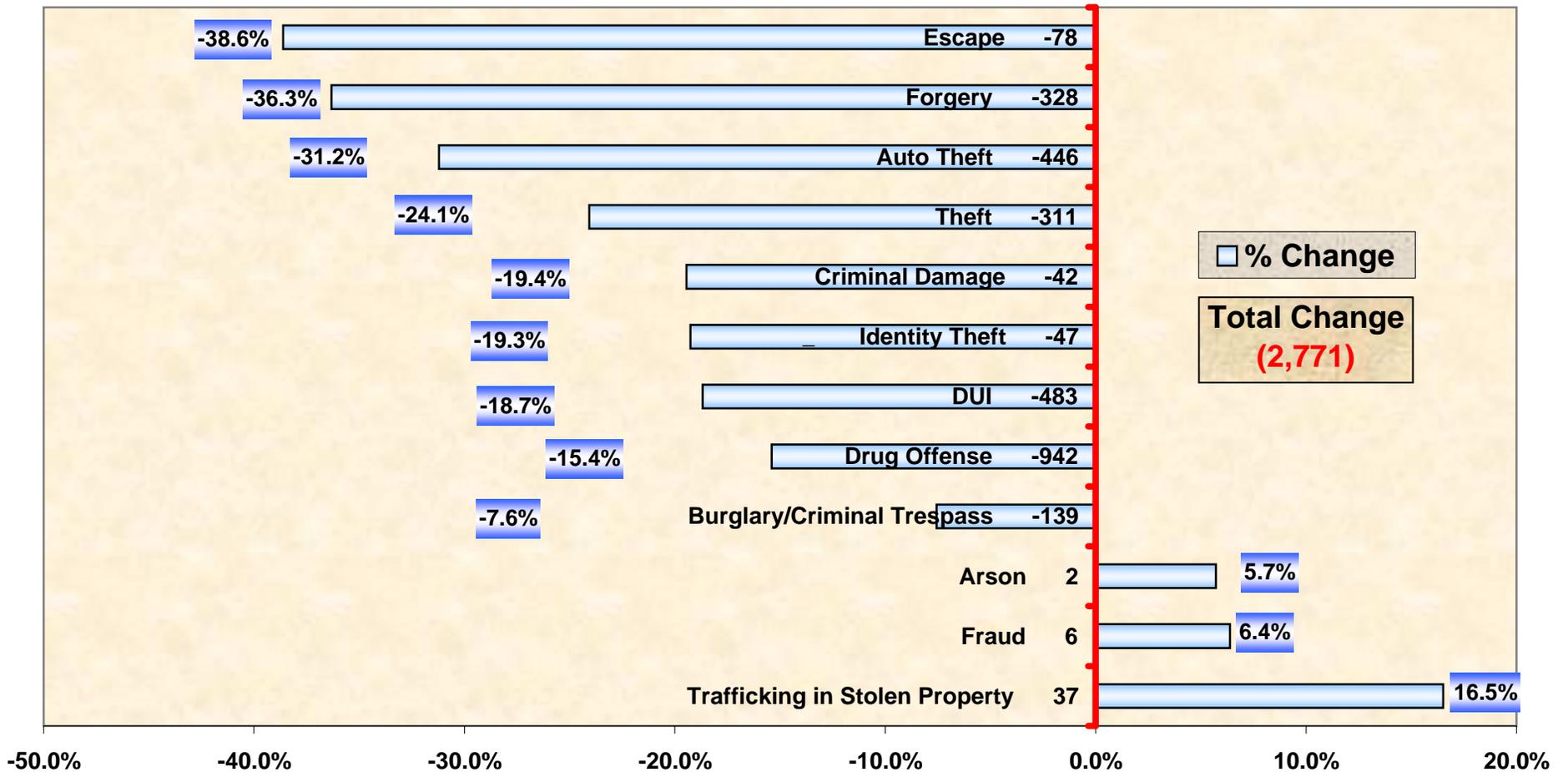
Arizona Department of Corrections

% Change in Commitment Offenses - Violent FY 2010 to FY 2011



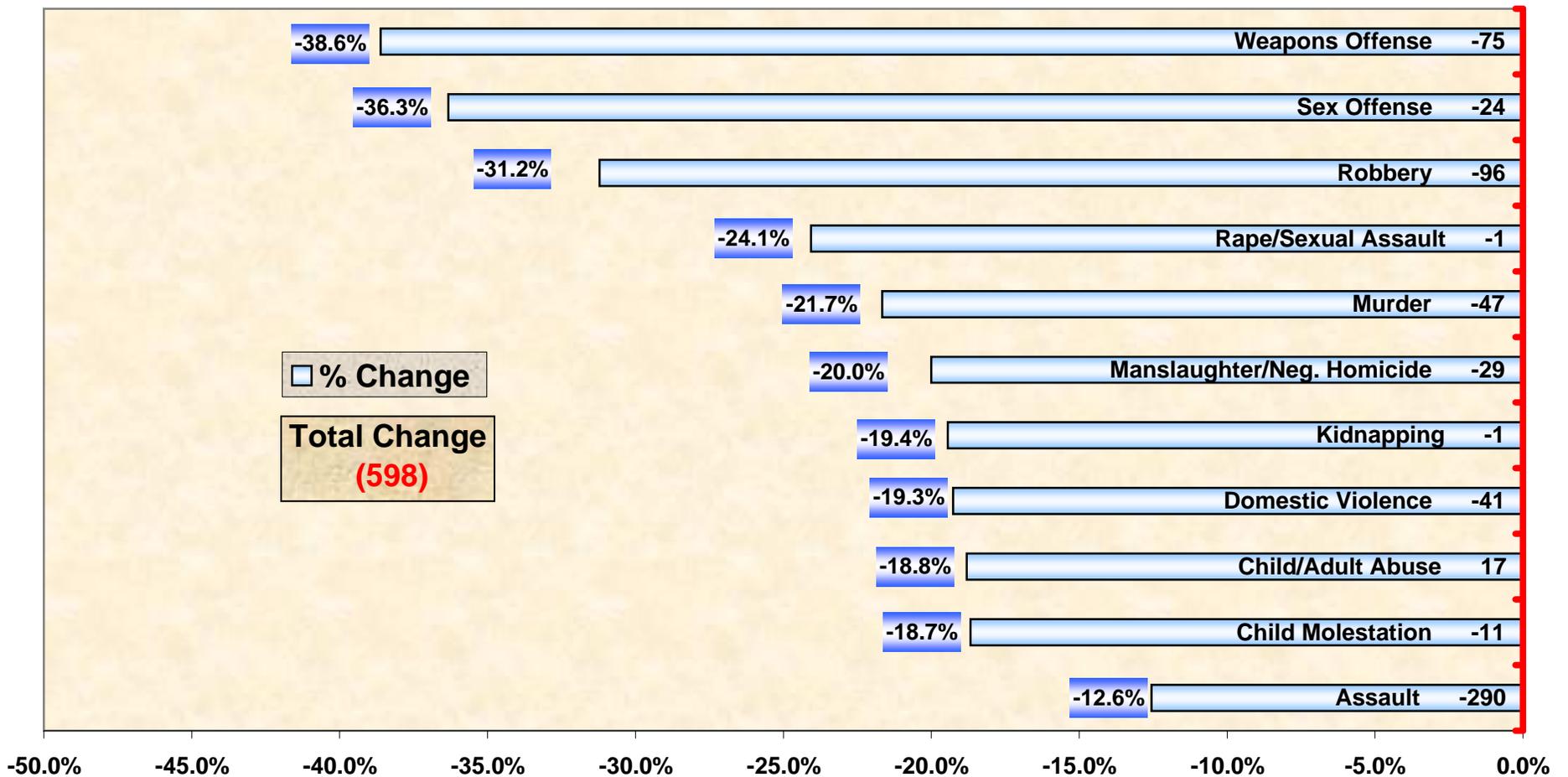
Arizona Department of Corrections

% Change in Commitment Offenses - Non Violent FY 2009 to FY 2011



Arizona Department of Corrections

% Change in Commitment Offenses - Violent FY 2009 to FY 2011



Criminal Aliens

- ▶ A 36% decrease in admissions from FY 2009 to FY 2011 (**3,441 to 2,214**)
- ▶ A 56% decrease in admissions from Pima County from FY 2009 to FY 2011 (**439 to 190**)
- ▶ A 35% decrease in admissions from Maricopa County from FY 2009 to FY 2011 (**2,368 to 1,531**)
- ▶ A 22% decrease in admissions from other counties from FY 2009 to FY 2011 (**634 to 493**)

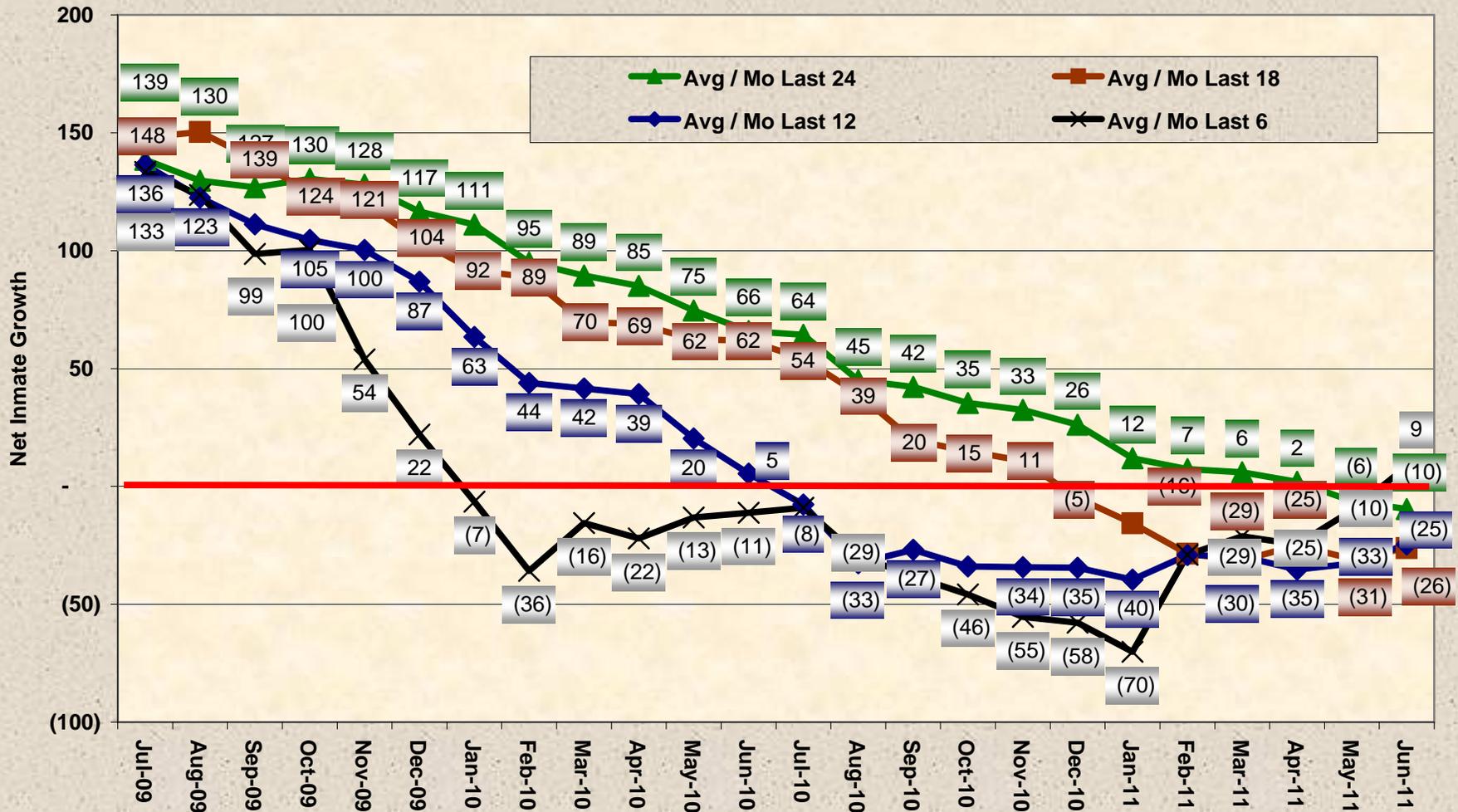
Inmate Net Growth

Arizona Department of Corrections Inmate Net Growth

Annual Inmate Net Growth		
Jul 1, 2001 thru Jun 30, 2011	Jul 1, 2006 thru Jun 30, 2011	Jul 1, 2001 thru Jun 30, 2006
Ten Year	Five Year Current	Five Year Past
4.0%	2.9%	5.0%

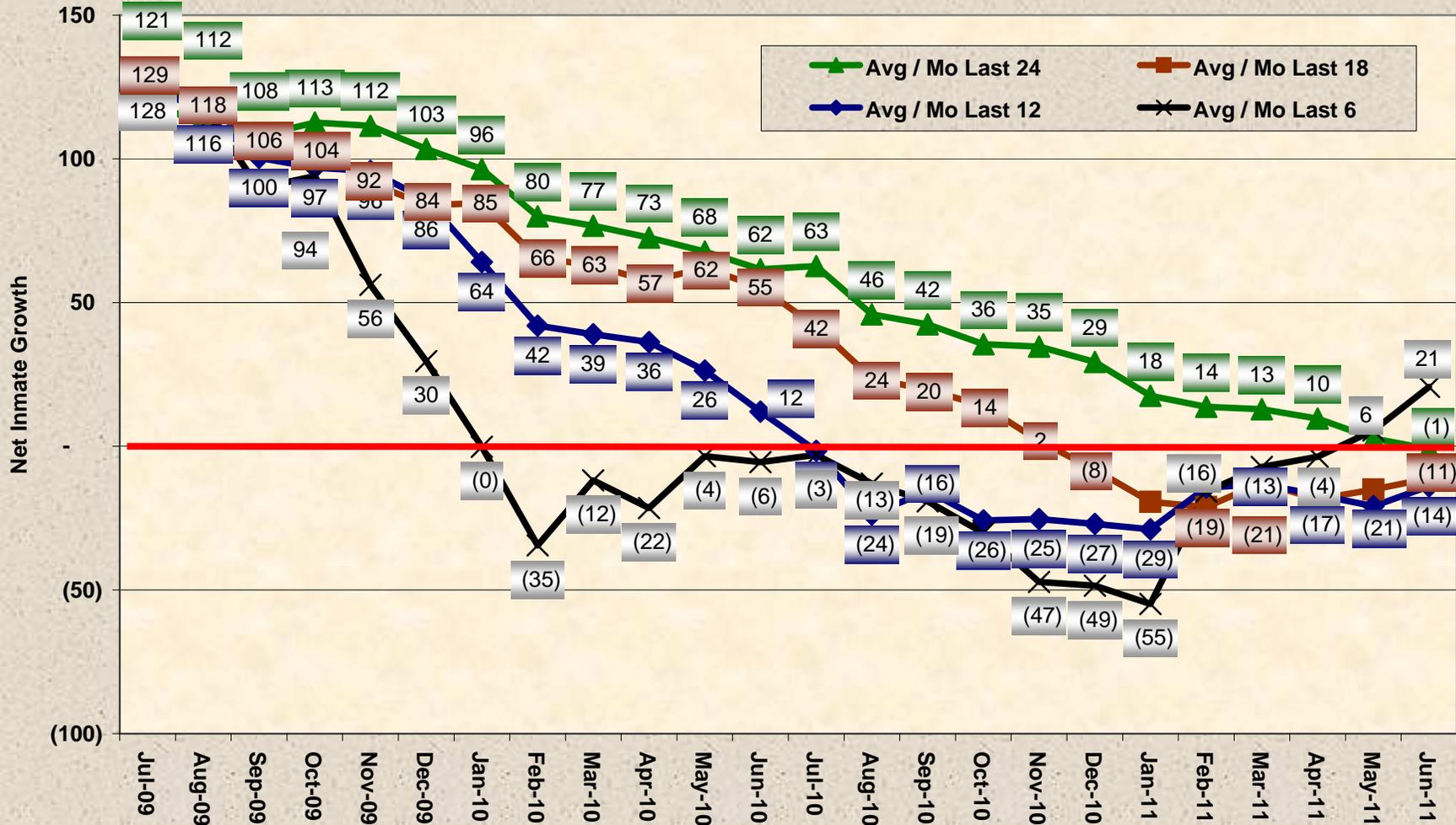
Ten Year Inmate Monthly Average Net Growth (January 31, 2000 thru December 31, 2010)											
Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May
112.0	86.3	105.5	107.5	133.6	38.4	33.5	31.8	91.1	254.3	173.8	124.0

Arizona Department of Corrections Inmate Net Growth 6 Month, 12 month, 18 Month & 24 Month Rolling Average



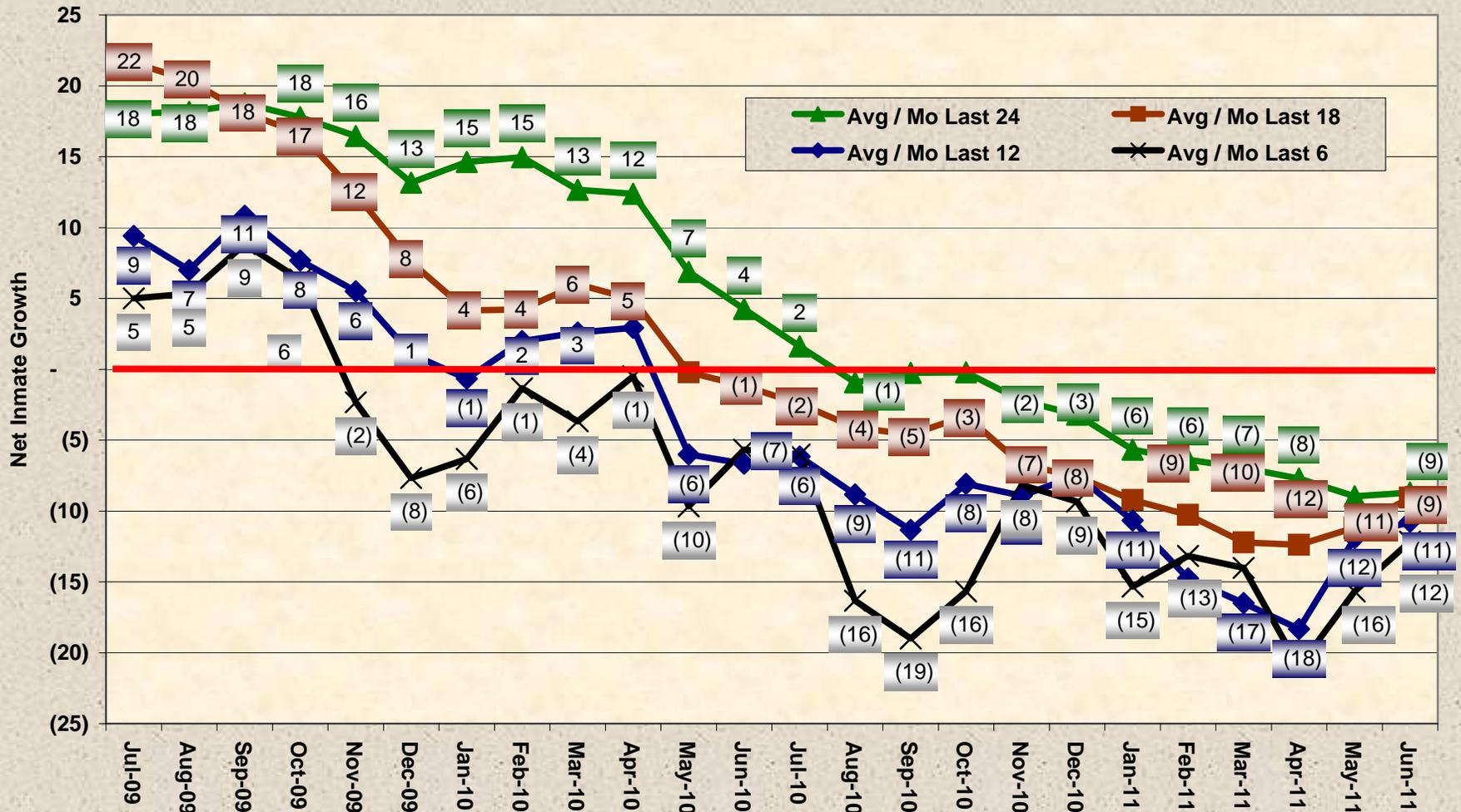
Prepared By: Bureau of Planning, Budget and Research
Date Prepared: July 13, 2011

Arizona Department of Corrections Inmate Net Growth - Male 6 Month, 12 month, 18 Month & 24 Month Rolling Average



Prepared By: Bureau of Planning, Budget and Research
Date Prepared: July 13, 2011

Arizona Department of Corrections Inmate Net Growth - Females 6 Month, 12 month, 18 Month & 24 Month Rolling Average



Prepared By: Bureau of Planning, Budget and Research
Date Prepared: July 13, 2011

Arizona Department of Corrections

data as of 06/30/2011

All ADC Confined Inmates

ADC Inmate Commitment Offenses	Custody Level					Custody Level				
	Minimum	Medium	Close	Maximum	Total	Minimum	Medium	Close	Maximum	Total
Arson	44	37	9	10	100	0.1%	0.1%	0.0%	0.0%	0.2%
Assault	1,835	2,003	736	537	5,111	4.6%	5.0%	1.8%	1.3%	12.7%
Auto Theft	1,075	780	338	220	2,413	2.7%	1.9%	0.8%	0.5%	6.0%
Burglary/Criminal Trespass	1,563	1,130	328	174	3,195	3.9%	2.8%	0.8%	0.4%	8.0%
Child Molestation	706	707	125	12	1,550	1.8%	1.8%	0.3%	0.0%	3.9%
Child/Adult Abuse	-	226	9	5	240	0.0%	0.6%	0.0%	0.0%	0.6%
Criminal Damage	87	48	12	9	156	0.2%	0.1%	0.0%	0.0%	0.4%
Domestic Violence	84	47	10	8	149	0.2%	0.1%	0.0%	0.0%	0.4%
Drug Offense	5,258	2,116	354	165	7,893	13.1%	5.3%	0.9%	0.4%	19.6%
DUI	1,626	318	38	15	1,997	4.0%	0.8%	0.1%	0.0%	5.0%
Escape	68	85	30	19	202	0.2%	0.2%	0.1%	0.0%	0.5%
Forgery	497	181	44	13	735	1.2%	0.5%	0.1%	0.0%	1.8%
Fraud	161	65	12	7	245	0.4%	0.2%	0.0%	0.0%	0.6%
Identity Theft	257	82	17	9	365	0.6%	0.2%	0.0%	0.0%	0.9%
Kidnapping	271	870	194	139	1,474	0.7%	2.2%	0.5%	0.3%	3.7%
Manslaughter/Neg. Homicide	319	331	106	47	803	0.8%	0.8%	0.3%	0.1%	2.0%
Murder	164	1,408	520	644	2,736	0.4%	3.5%	1.3%	1.6%	6.8%
Other	471	437	129	102	1,139	1.2%	1.1%	0.3%	0.3%	2.8%
Rape/Sexual Assault	-	457	69	49	575	0.0%	1.1%	0.2%	0.1%	1.4%
Robbery	667	1,622	832	583	3,704	1.7%	4.0%	2.1%	1.5%	9.2%
Sex Offense	15	1,988	117	83	2,203	0.0%	4.9%	0.3%	0.2%	5.5%
Theft	695	275	57	18	1,045	1.7%	0.7%	0.1%	0.0%	2.6%
Trafficking in Stolen Property	320	172	35	19	546	0.8%	0.4%	0.1%	0.0%	1.4%
Weapons Offense	709	577	199	120	1,605	1.8%	1.4%	0.5%	0.3%	4.0%
ADC Total	16,892	15,962	4,320	3,007	40,181	42.0%	39.7%	10.8%	7.5%	100.0%

Arizona Department of Corrections

data as of 06/30/2011

US Citizens										
Custody Level						Custody Level				
ADC Inmate Commitment Offenses	Minimum	Medium	Close	Maximum	Total	Minimum	Medium	Close	Maximum	Total
Arson	40	36	8	9	93	0.1%	0.1%	0.0%	0.0%	0.3%
Assault	1,586	1,786	665	495	4,532	4.6%	5.1%	1.9%	1.4%	13.1%
Auto Theft	998	737	317	206	2,258	2.9%	2.1%	0.9%	0.6%	6.5%
Burglary/Criminal Trespass	1,489	1,070	314	165	3,038	4.3%	3.1%	0.9%	0.5%	8.7%
Child Molestation	599	599	94	12	1,304	1.7%	1.7%	0.3%	0.0%	3.8%
Child/Adult Abuse	-	211	8	5	224	0.0%	0.6%	0.0%	0.0%	0.6%
Criminal Damage	83	46	12	9	150	0.2%	0.1%	0.0%	0.0%	0.4%
Domestic Violence	81	46	10	8	145	0.2%	0.1%	0.0%	0.0%	0.4%
Drug Offense	3,898	1,808	312	149	6,167	11.2%	5.2%	0.9%	0.4%	17.8%
DUI	1,319	280	36	14	1,649	3.8%	0.8%	0.1%	0.0%	4.7%
Escape	63	80	26	17	186	0.2%	0.2%	0.1%	0.0%	0.5%
Forgery	443	165	41	12	661	1.3%	0.5%	0.1%	0.0%	1.9%
Fraud	158	63	12	7	240	0.5%	0.2%	0.0%	0.0%	0.7%
Identity Theft	233	79	16	8	336	0.7%	0.2%	0.0%	0.0%	1.0%
Kidnapping	92	527	137	112	868	0.3%	1.5%	0.4%	0.3%	2.5%
Manslaughter/Neg. Homicide	260	272	88	44	664	0.7%	0.8%	0.3%	0.1%	1.9%
Murder	147	1,211	432	586	2,376	0.4%	3.5%	1.2%	1.7%	6.8%
Other	414	413	119	98	1,044	1.2%	1.2%	0.3%	0.3%	3.0%
Rape/Sexual Assault	-	386	51	47	484	0.0%	1.1%	0.1%	0.1%	1.4%
Robbery	603	1,455	741	514	3,313	1.7%	4.2%	2.1%	1.5%	9.5%
Sex Offense	14	1,779	110	73	1,976	0.0%	5.1%	0.3%	0.2%	5.7%
Theft	667	261	52	18	998	1.9%	0.8%	0.1%	0.1%	2.9%
Trafficking in Stolen Property	314	172	35	19	540	0.9%	0.5%	0.1%	0.1%	1.6%
Weapons Offense	633	544	186	118	1,481	1.8%	1.6%	0.5%	0.3%	4.3%
ADC Total	14,134	14,026	3,822	2,745	34,727	40.7%	40.4%	11.0%	7.9%	100.0%

Arizona Department of Corrections

data as of 06/30/2011

Criminal Aliens

ADC Inmate Commitment Offenses	Custody Level					Custody Level				
	Minimum	Medium	Close	Maximum	Total	Minimum	Medium	Close	Maximum	Total
Arson	4	1	1	1	7	0.1%	0.0%	0.0%	0.0%	0.1%
Assault	249	217	71	42	579	4.6%	4.0%	1.3%	0.8%	10.6%
Auto Theft	77	43	21	14	155	1.4%	0.8%	0.4%	0.3%	2.8%
Burglary/Criminal Trespass	74	60	14	9	157	1.4%	1.1%	0.3%	0.2%	2.9%
Child Molestation	107	108	31	-	246	2.0%	2.0%	0.6%	0.0%	4.5%
Child/Adult Abuse	-	15	1	-	16	0.0%	0.3%	0.0%	0.0%	0.3%
Criminal Damage	4	2	-	-	6	0.1%	0.0%	0.0%	0.0%	0.1%
Domestic Violence	3	1	-	-	4	0.1%	0.0%	0.0%	0.0%	0.1%
Drug Offense	1,360	308	42	16	1,726	24.9%	5.6%	0.8%	0.3%	31.6%
DUI	307	38	2	1	348	5.6%	0.7%	0.0%	0.0%	6.4%
Escape	5	5	4	2	16	0.1%	0.1%	0.1%	0.0%	0.3%
Forgery	54	16	3	1	74	1.0%	0.3%	0.1%	0.0%	1.4%
Fraud	3	2	-	-	5	0.1%	0.0%	0.0%	0.0%	0.1%
Identity Theft	24	3	1	1	29	0.4%	0.1%	0.0%	0.0%	0.5%
Kidnapping	179	343	57	27	606	3.3%	6.3%	1.0%	0.5%	11.1%
Manslaughter/Neg. Homicide	59	59	18	3	139	1.1%	1.1%	0.3%	0.1%	2.5%
Murder	17	197	88	58	360	0.3%	3.6%	1.6%	1.1%	6.6%
Other	57	24	10	4	95	1.0%	0.4%	0.2%	0.1%	1.7%
Rape/Sexual Assault	-	71	18	2	91	0.0%	1.3%	0.3%	0.0%	1.7%
Robbery	64	167	91	69	391	1.2%	3.1%	1.7%	1.3%	7.2%
Sex Offense	1	209	7	10	227	0.0%	3.8%	0.1%	0.2%	4.2%
Theft	28	14	5	-	47	0.5%	0.3%	0.1%	0.0%	0.9%
Trafficking in Stolen Property	6	-	-	-	6	0.1%	0.0%	0.0%	0.0%	0.1%
Weapons Offense	76	33	13	2	124	1.4%	0.6%	0.2%	0.0%	2.3%
ADC Total	2,758	1,936	498	262	5,454	50.6%	35.5%	9.1%	4.8%	100.0%

**ARIZONA DEPARTMENT OF CORRECTIONS
CRIMINAL ALIEN COST OF INCARCERATION AND FEDERAL SCAAP AWARDS**

COST OF CRIMINAL ALIEN INCARCERATION					SCAAP AWARD AMOUNT	REPORT PERIOD (Covered By Reimbursement)	FEDERAL DESIGNATED SCAAP YEAR
FISCAL YEAR	CRIMINAL ALIEN ADP	DAYS PER YEAR	COST PER DAY	TOTAL COST			
2011	5,711	365	\$59.85 *	\$124,766,375	****	7/1/10-6/30/11	2012
2010	6,174	365	\$59.85 *	\$134,881,387	****	7/1/09-6/30/10	2011
2009	5,916	365	\$62.52	\$135,000,893	\$9,775,269	7/1/08-6/30/09	2010
2008	5,350	366	\$61.50	\$120,428,639	\$12,806,731	7/1/07-6/30/08	2009
2007	4,674	365	\$54.00	\$92,116,784	\$12,821,790	7/1/06-6/30/07	2008
2006	4,596	365	\$54.72	\$91,796,680	\$14,323,932	7/1/05-6/30/06	2007
2005	4,278	365	\$50.58	\$78,979,711	\$13,501,247	7/1/04-6/30/05	2006
				<u>\$518,322,707</u>	<u>\$63,228,969</u>		

* This is the blended FY 2010 per capita rate. Both FY 2010 and FY 2011 will be revised once the final FY 2010 Per Capita report and the FY 2011 Per Capita report is completed.

**** The application for the 2010 SCAAP federal funds was recently submitted. The application for the 2011 SCAAP federal funds is expected to be submitted in the first quarter of FY 2012. The applications contain required information which is used by the government to base an award on and therefore do not include a dollar amount.

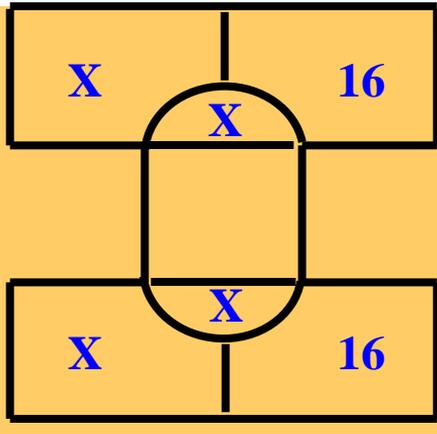
Prepared By: Bureau of Planning, Budget and Research
Date Prepared: July 13, 2011

Arizona Department of Corrections
 FTE Report
 As of June 30, 2011

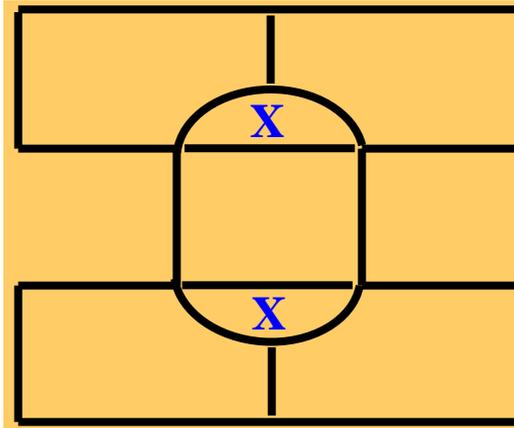
Total Positions	Correctional Officers	Health	All Other	TOTAL
Lump Sum Positions				
Non-CO II Positions	1,594.0	751.2	1,604.0	3,949.2
CO II Positions	6,066.0	0.0	0.0	6,066.0
Total Lump Sum Positions	<u>7,660.0</u>	<u>751.2</u>	<u>1,604.0</u>	<u>10,015.2</u>
Funded Positions (Level 1/Wildland Fire)				
Non-CO II Positions	66.0	2.0	25.0	93.0
CO II Positions	130.0	0.0	0.0	130.0
Total Funded Positions	<u>196.0</u>	<u>2.0</u>	<u>25.0</u>	<u>223.0</u>
Total Authorized/Funded FTE				
Non-CO II Positions	1,660.0	753.2	1,629.0	4,042.2
CO II Positions	6,196.0	0.0	0.0	6,196.0
Total Authorized/Funded FTE	<u><u>7,856.0</u></u>	<u><u>753.2</u></u>	<u><u>1,629.0</u></u>	<u><u>10,238.2</u></u>

ADC Security Post Unit Staffing Example

FY 2005
 COII FTE: 6,244
 ADC Pop: 27,913

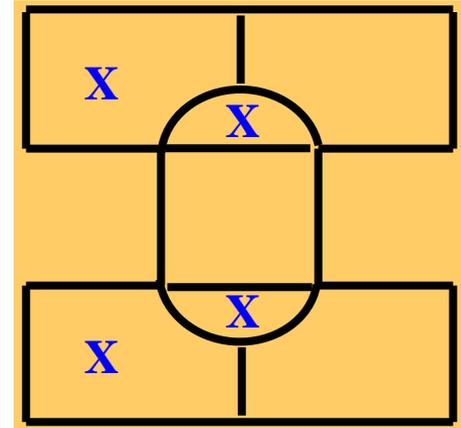


FY 2006
 COII FTE: 5,679
 ADC Pop: 28,379



FY 2011
 COII FTE: 6,196
 ADC Pop: 34,155

FY 2013
 COII FTE: 6,389
 ADC Pop: 34,484



**All Security Staff Posts
 Funded & Filled
 at 100%**

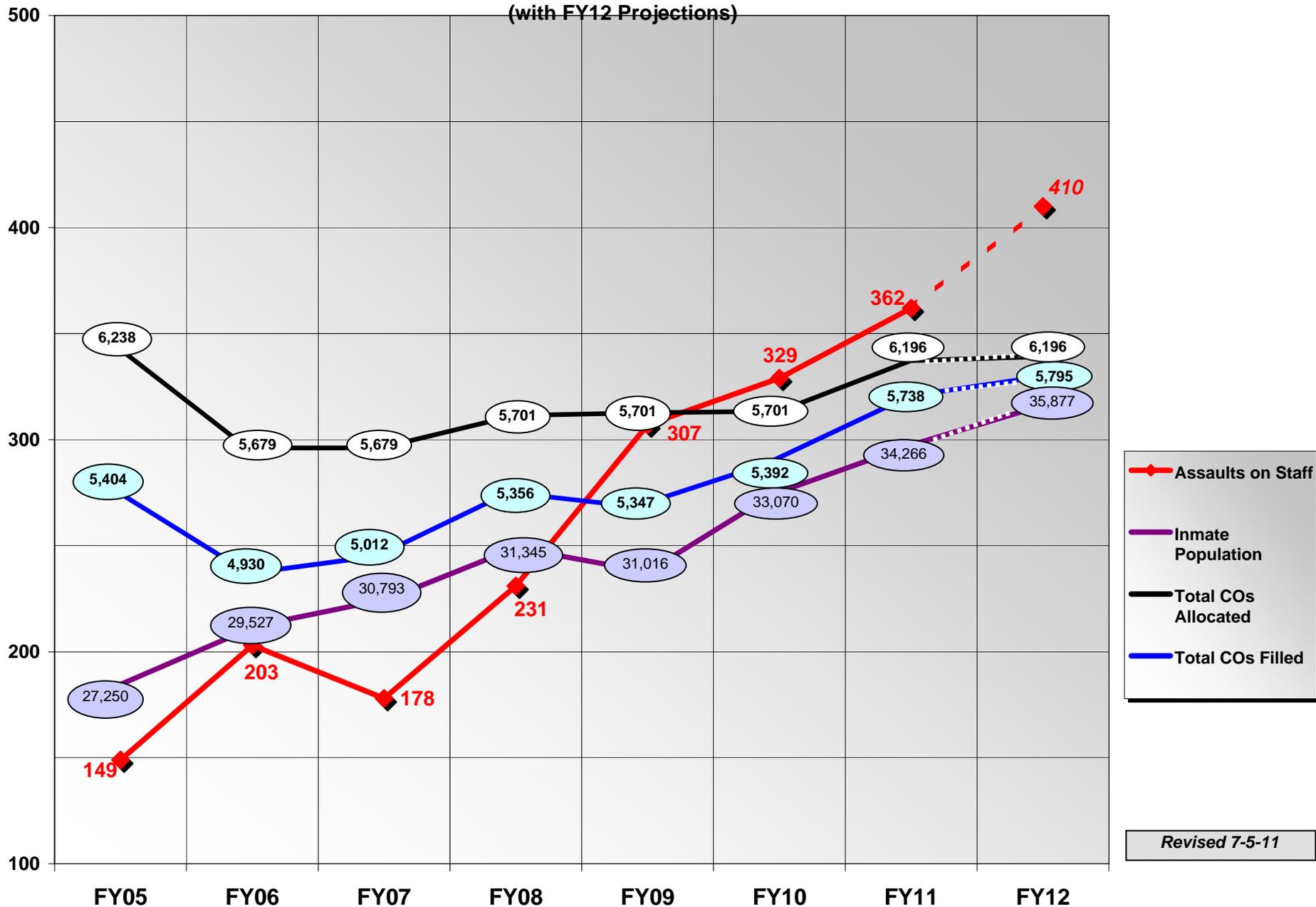
**Security Staff Reduced
 by 565 FTE
 Security Staff Less than
 100% Funded & Filled**

**193 Security Staff FTE
 Restored
 Security Staff 100%
 Funded and Filled**

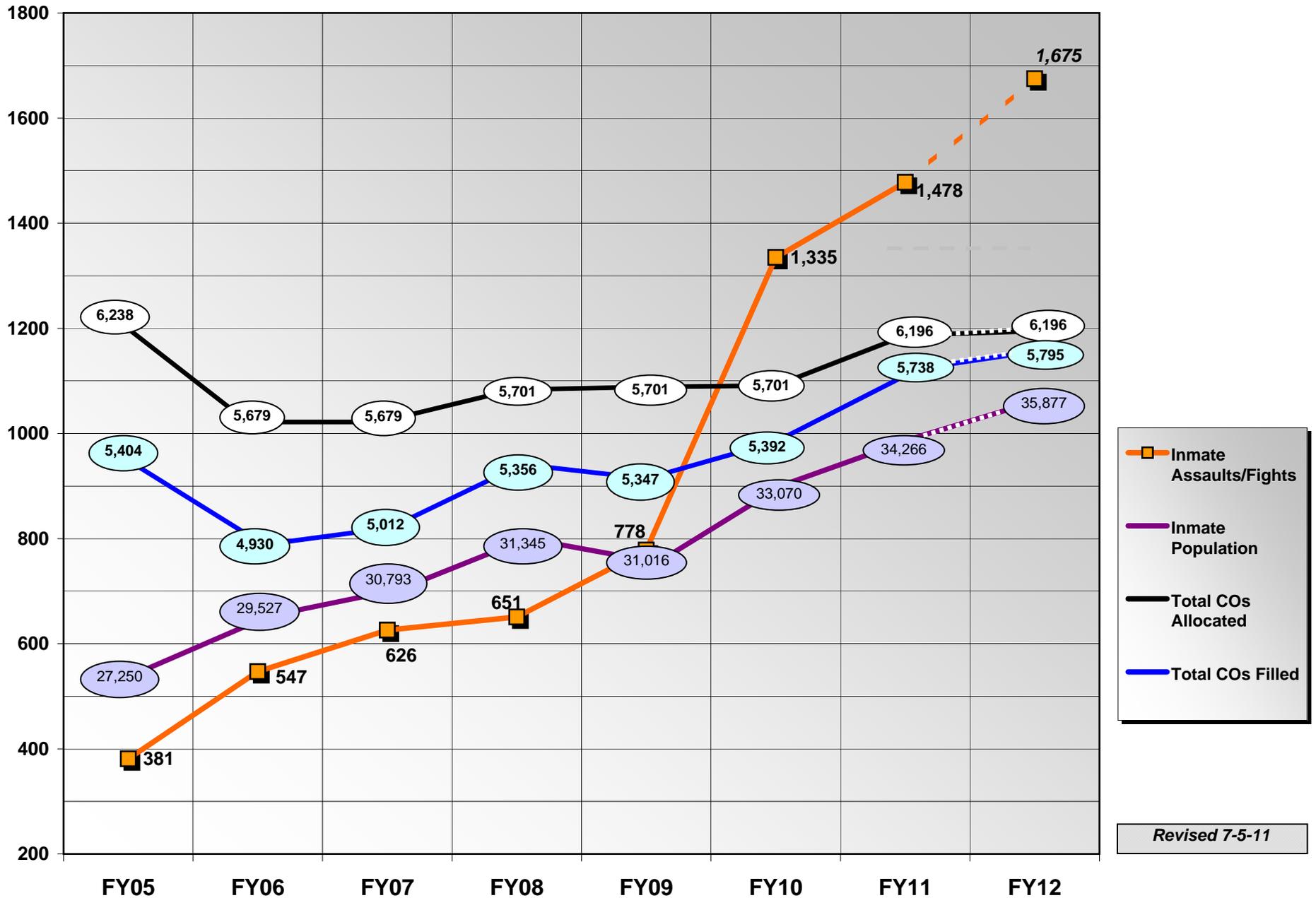
“X” means post is filled 24 hours/7 days a week
“16” means post is filled 16 hours/7 days a week

Note: ADC Population is the inmate average daily population (ADP) for state run facilities only (does not include ADP for ADC inmates housed in private prisons)

Incidents of Assaults on ADC Staff FY2005 - FY2011 (with FY12 Projections)

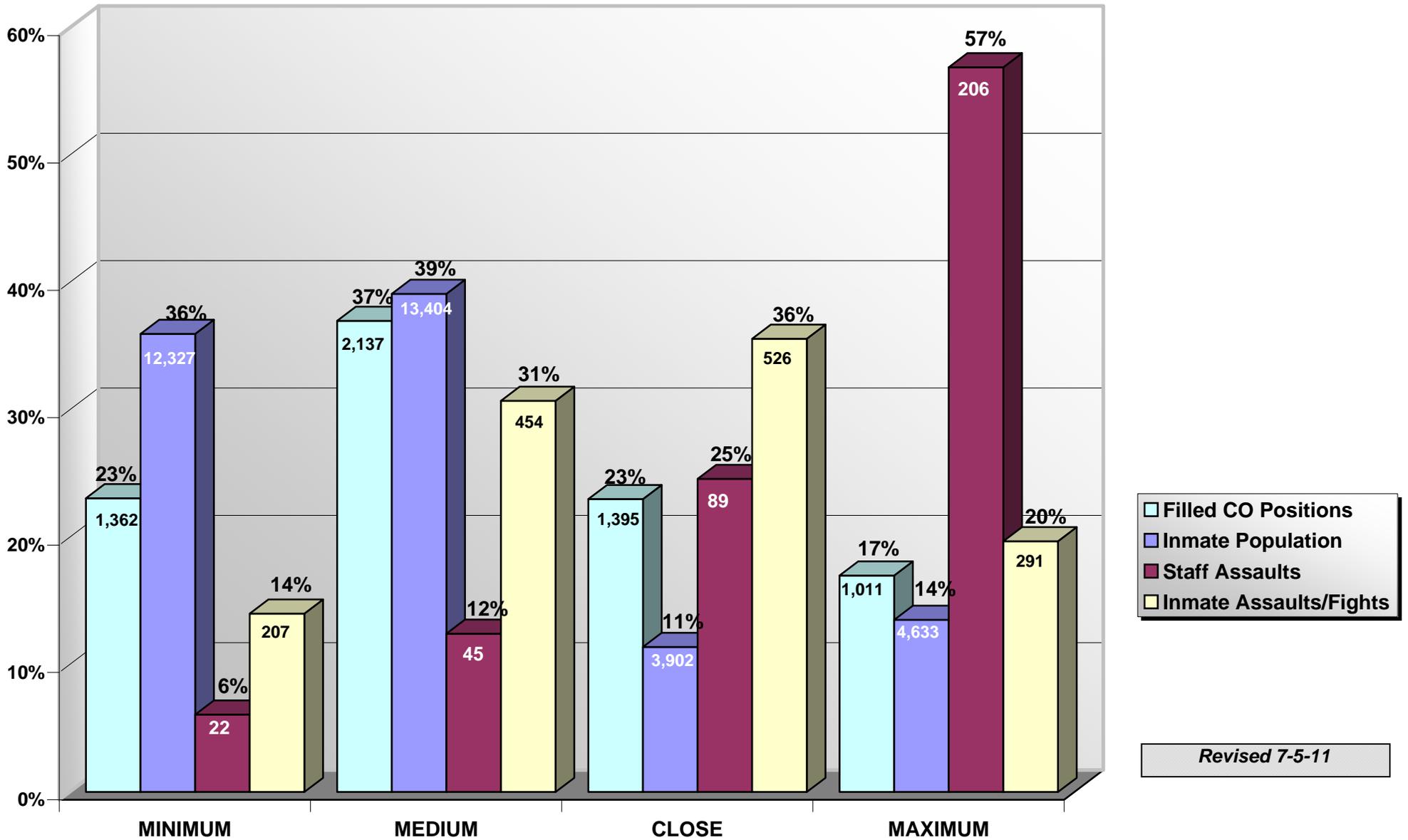


Incidents of Inmate Assaults & Fights FY2005 - FY2011 (with FY12 Projections)



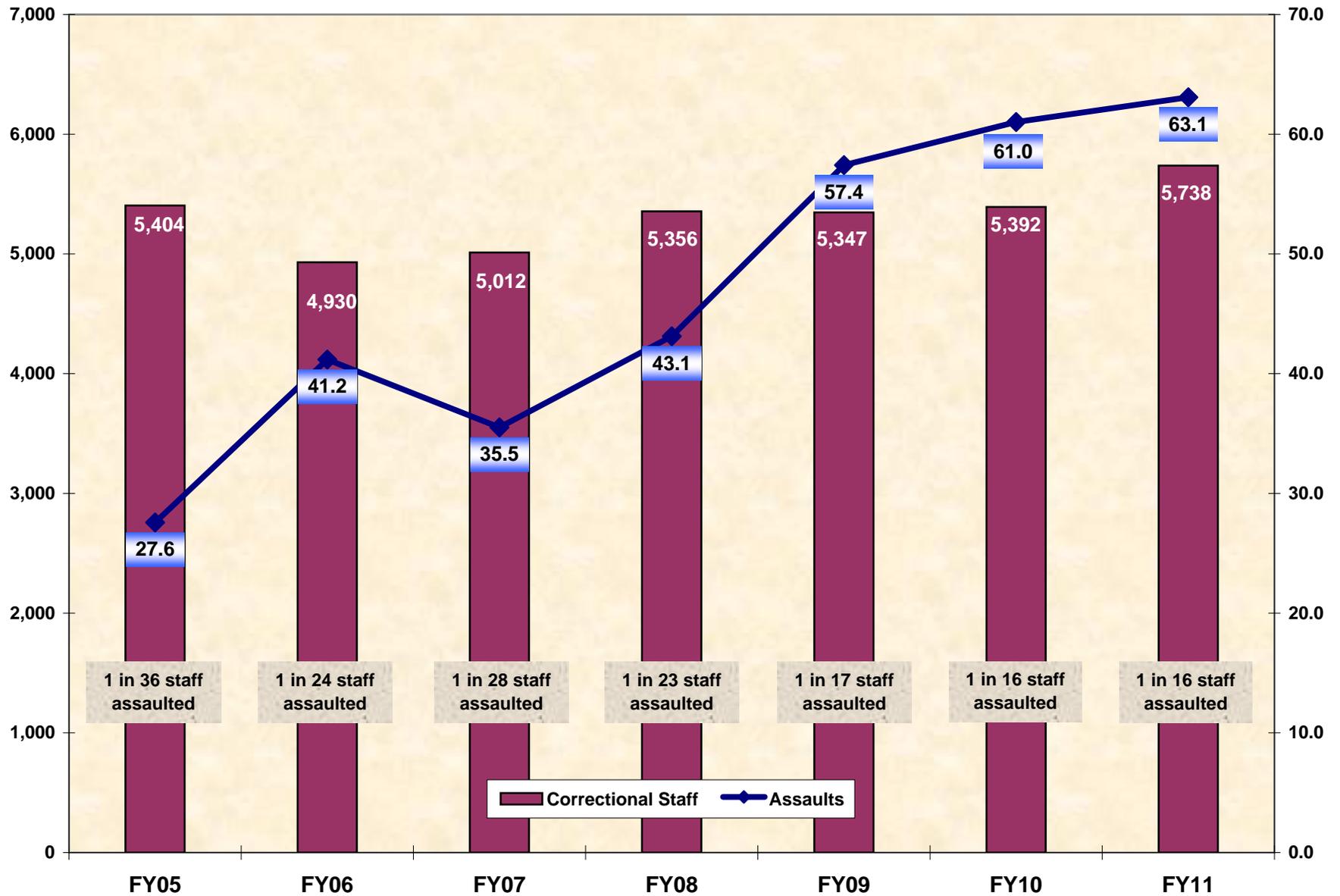
Revised 7-5-11

Population and Assault-Fight Comparison FY 2011

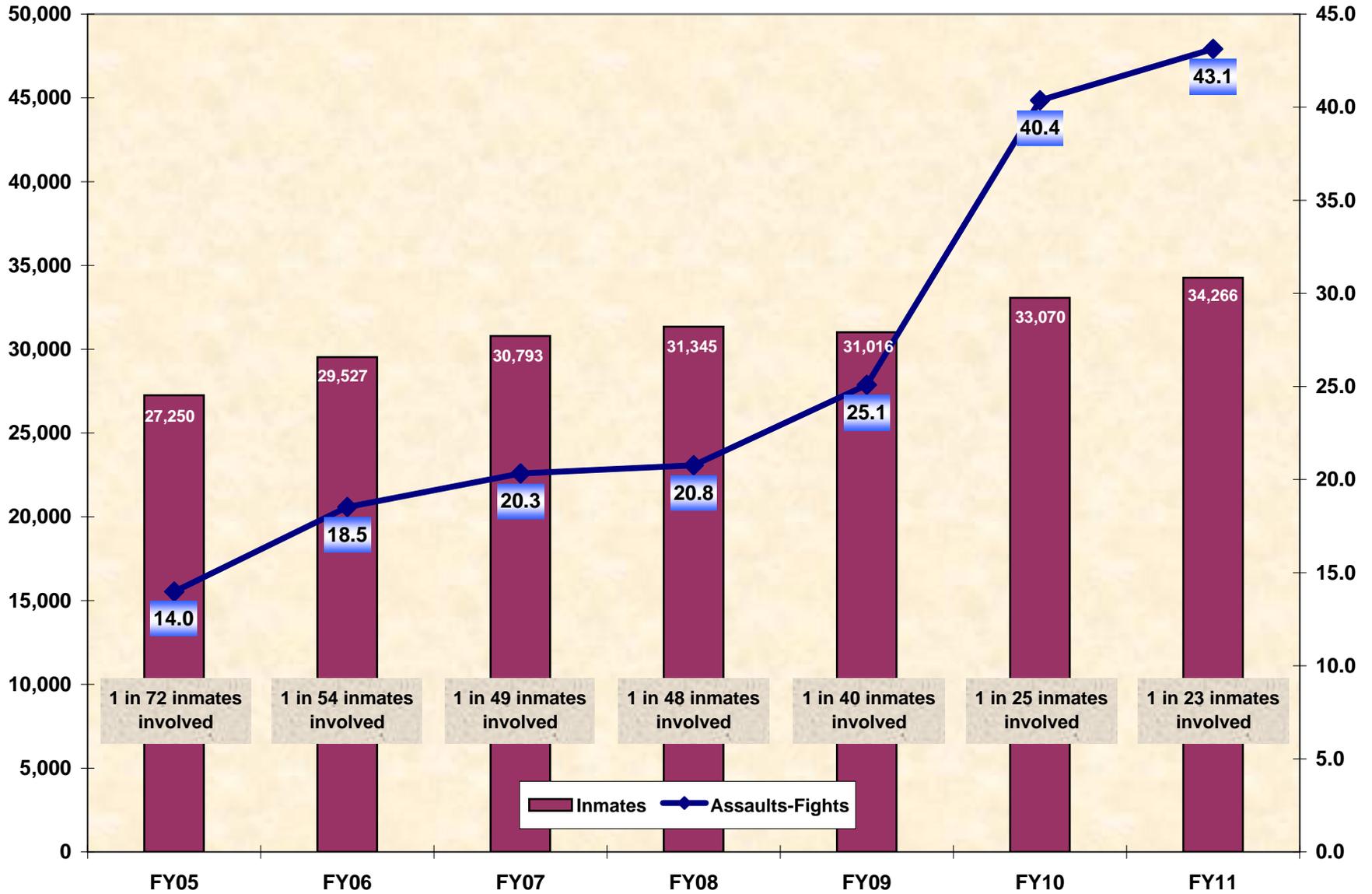


Revised 7-5-11

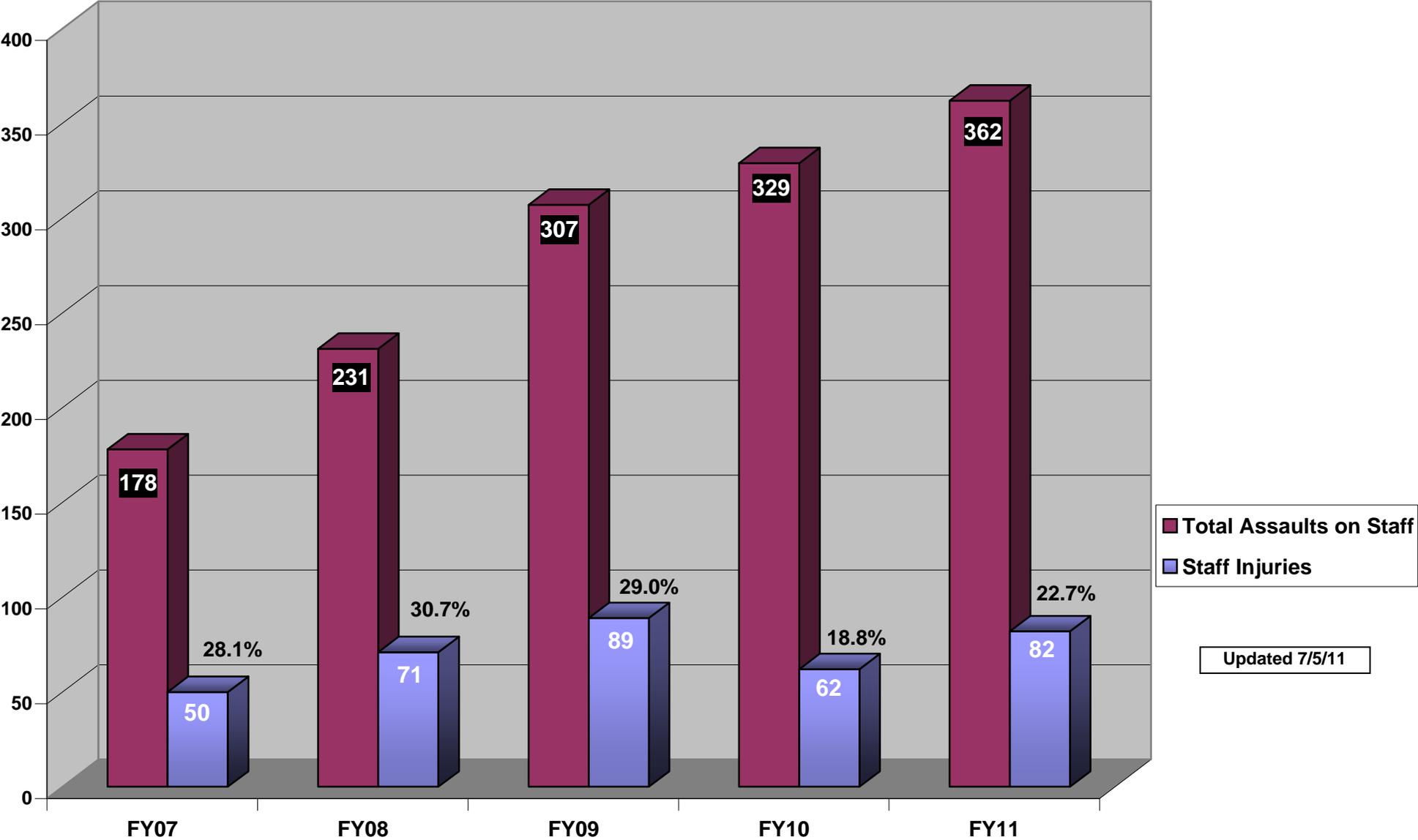
Arizona Department of Corrections Inmate on Staff Assaults FY05-FY11



Arizona Department of Corrections Inmate Assaults and Fights FY05-FY11



Assaults on ADC Staff - with Injury



Updated 7/5/11

**ARIZONA DEPARTMENT OF CORRECTIONS
OFFENDER OPERATIONS DIVISION
COMMUNITY CORRECTIONS**

Community Corrections Bureau ensures the accurate release, effective re-entry, transition and supervision of offenders released to the community utilizing a continuum of supervision services, strategies, evidenced based programs and meaningful incentives and sanctions. Community Corrections also facilitates the swift return to custody of those offenders who violate conditions of supervision and who represent serious threats to the safety of the community. In addition, Community Corrections provides identification and processing of sex offenders subject to regulatory laws and meaningful interaction with victims to maintain public protection. These services are provided by the following:

COMMUNITY SUPERVISION

Electronic Monitoring Unit which supervises all offenders released on parole or community supervision, that have a past or current conviction for a crime designated as Dangerous Crimes Against Children as outlined in A.R.S. 13-604.01 and 13-705.

Sex Offender Coordination Unit (SOCU) was established in 1996 in response to Federal Community Protection Laws. The SOCU reviews the cases of all sex offenders up to one year prior to their release to determine if they are subject to the statutory requirements of Sex Offender Registration (ARS §13-3821), Community Notification (ARS §13-3825), and/or civil commitment under Arizona's "Sexually Violent Person" (SVP) statute (ARS §36-3701).

In 1995, the Arizona Legislature enacted the SVP law to further protect the citizens of Arizona from repetitive, predatory sex offenders by enabling designated persons to be civilly committed to the Arizona State Hospital upon completion of a prison term for the purpose of mental health treatment. ARS §36-3701 requires ADC to complete the review/referral process prior to release from custody. SOCU serves as the agency liaison with contract mental health professionals, County Attorneys/Attorney General, ACPTC (Arizona Community Protection & Treatment Center) and nationwide law enforcement agencies in order to fulfill statutory mandates.

Interstate Compact Unit (ISC) coordinates parole transfers to and from Arizona. The Unit serves as the central location for interstate information and special investigation requests from other states on pardons, clemency investigations and pre-sentence reports

Warrant Services/Hearings Unit (WSHU) is responsible for obtaining, processing, and monitoring all warrants requested, issued and received by and for the Community Corrections Bureau.

Release Unit processes all release programs for offenders being released from prison to serve Community Supervision pursuant to all statutory sentencing schemes (Truth in Sentencing), Board of Executive Clemency Releases (Home Arrest, Parole, Work Furlough) and Legislative release programs (Transition Program, Teaching Offenders to Live/Community Accountability Program).

PRISONER RE-ENTRY INITIATIVE (PRI): 2006 AND 2008/LEGACY

The Prisoner Re-Entry Initiative Grants, funded by the US Department of Justice, provide support for employment and education focused pre-release reentry classes to 600 participants. These participants will release into Maricopa and Pima Counties and the 85040/41 zip codes. The participants are referred for post release services to community providers that specialize in the employment and education needs of post released offenders. This grant is due to expire on June 30, 2011.

RELEASE PROGRAMS

Transition Program (ARS§ 31-281, 31-282: SB1291/HB2298/SB1093) was established in 2003, via SB 1291 to provide reentry programming to non-DUI offenders. In 2009, legislation expanded eligibility to additional non-violent drug offenders through SB1093. This law stipulates that the Arizona Department of Corrections shall contract with a private or nonprofit entity to provide eligible offenders transitional services. Inmates may be released up to 90 days early in order to complete the program.

Discharge to Probation (ARS 13-901: SB1053) stipulates that persons who have been convicted of an offense and are eligible for probation, the court may waive the term of Community Supervision. Inmates convicted solely under this statute are discharged from ADC custody to the supervision jurisdiction of County Probation. The exception to this process however, applies to offenders convicted under dual sentences under ARS 13-901 and 13-281 (Transition Program). Transition Program offenders are eligible for release up to 90 days prior to their earliest discretionary release (TR) and therefore may be supervised by Community Corrections until the TR date is reached. At this time, Community Corrections completes notification procedures to the receiving County Probation office and provides offender with reporting advises offender of duty to report.

Community Accountability Program (CAP), ARS 41-1609.05 is a program that provides community based programming to Community Supervision offenders with the goal to reduce recidivism, provide treatment services based upon recidivism risk, prepare offenders for independent living and enhance public safety. Community Corrections utilizes this program as an immediate sanction in lieu of revocation.

Teaching Offenders to Live (TOTL), ARS 41-1609.06 is a component of the CAP program available to eligible inmates that have been returned to ADC custody. Inmates that have completed relapse prevention and education programs through TOTL may be eligible for reinstatement to Community Supervision.

Community Corrections Office Locations

Source: ADC Community Corrections Bureau 11-2010

Central Region	Northern Region	Southern Region
Interstate Compact Unit	Flagstaff	Tucson Parole
Release Unit	Kingman	Pinal Regional
Sex Offender Coordination Unit	Prescott	Cochise Regional
Warrant Services & Hearings Unit	Pinetop	Yuma
Northeast Parole	Central Parole (Phx)	Safford
Legacy Program	Western Parole (Phx)	
Mesa East Valley		
Electronic Monitoring Unit		

Community Corrections Staffing

(as allocated)

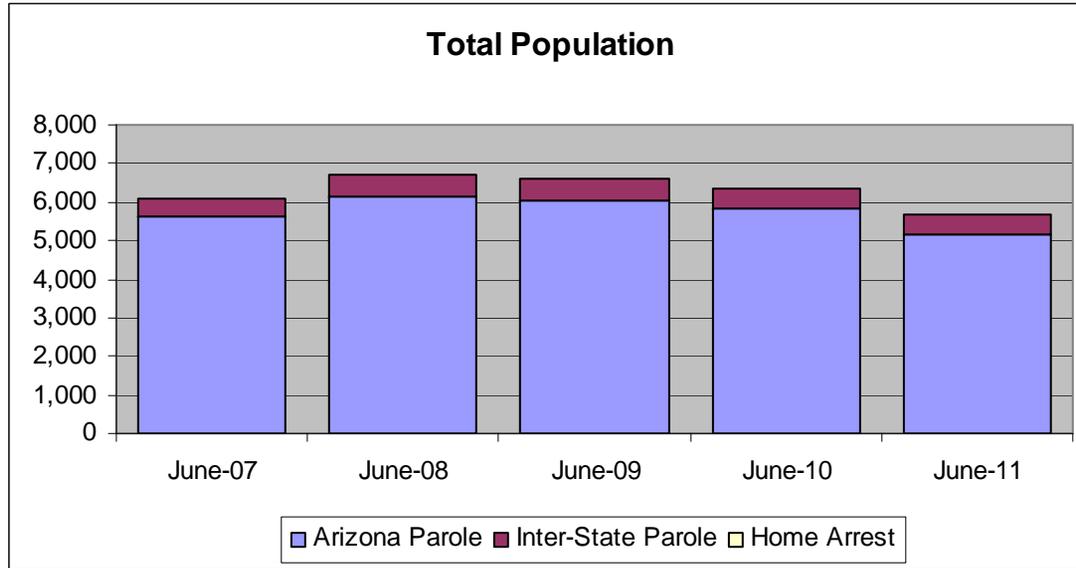
Position	Administration	CCO Series	Support Staff	Grant Funded	Total
Operations Director	1				1
Regional Manager	4				4
Executive Staff Assistant	2				2
Community Corrections Supervisors		15			15
Community Corrections Officer Senior		27			27
Community Corrections Officers		93			93
AAIII			3		3
AAII			3		3
AAI			5		5
Secretary			5		5
Program and Project Specialist II			1		1
Program and Project Specialist I			2		2
Grant Manager*				1	1
Program Coordinator*				2	2
Program Facilitator*				2	2
Total Staff	7	135	19	5	166

Source: ADC Budget Office 11-2010

* Grant funded positions ended as of June 30, 2011.

Community Corrections Population

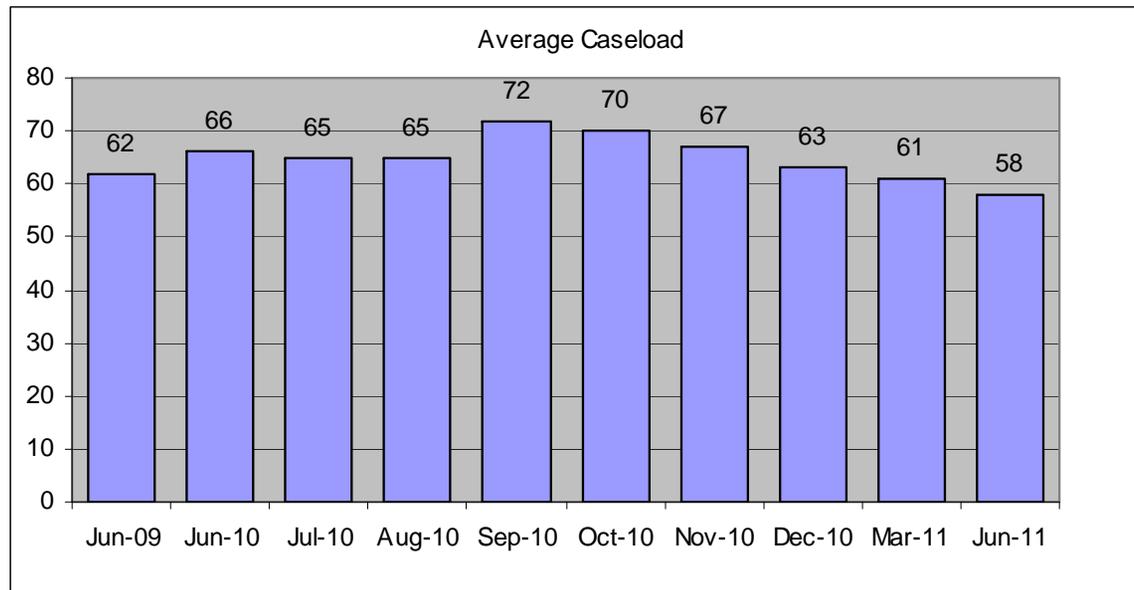
Community Corrections populations have remained relatively stable for the past five fiscal years with on average, nearly 6300 offenders under its supervision.



	Arizona Parole	Inter-State Parole	Home Arrest	Daily Totals
June 2007	5,604	482	5	6,091
June 2008	6,156	531	5	6,692
June 2009	6,040	575	6	6,621
June 2010	5,852	496	3	6,351
June 2011	5,159	509	1	5,668

Average Caseloads

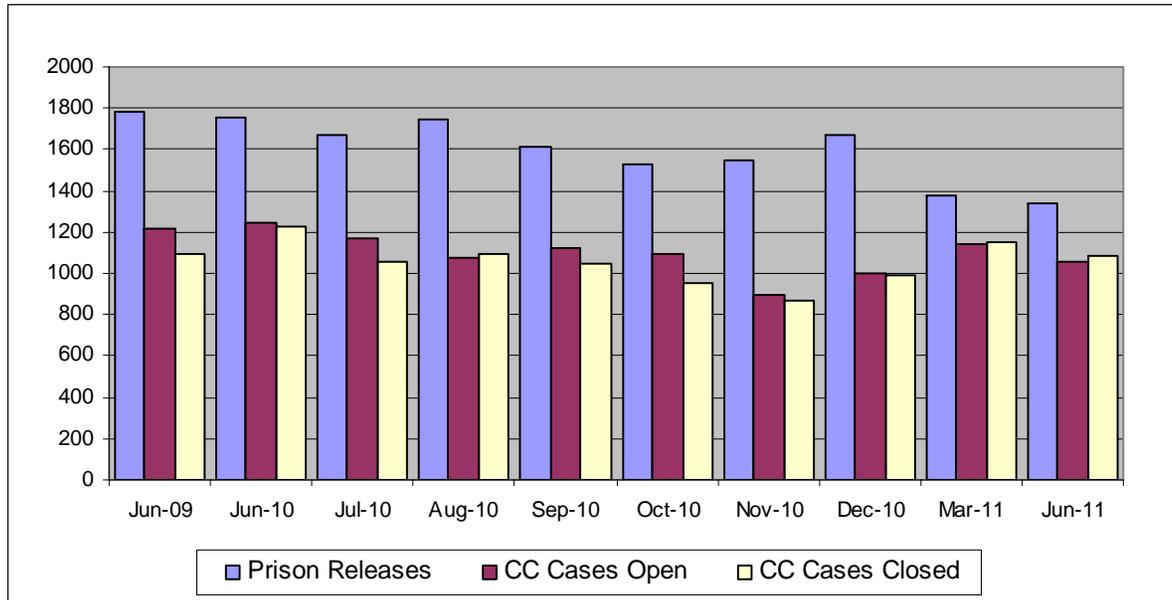
Community Corrections officer caseloads, on average, steadily increased since June 2009 with the largest increase showing 13% (n=10) from June 2009 to October 2010 primarily due to reductions in community corrections officer positions. Vacancy filling has contributed to the decrease in caseload size.



Ratio is express as number of cases to 1 community corrections officer
Source: ADC Planning and Budget Office

Inmate releases to Community Corrections, cases opened and closed from June 2009 to December 2010

Approximately 68% of the inmates leaving prison come to community corrections for the remainder of their sentence. On average, 20,214 inmates are released from prison each year. Of those, 13,838 offenders come to community corrections.



Month	Prison Releases	CC Cases Open	CC Cases Closed
June-09	1787	1220	1091
June-10	1759	1247	1225
July-10	1673	1168	1056
August-10	1741	1076	1096
September-10	1616	1118	1044
October-10	1531	1090	955
November-10	1550	898	866
December-10	1669	1001	987
March-11	1381	943	1103
June-11	1337	1061	1088

INMATE EDUCATION

- The ADC Education Bureau is comprised of 127 correctional teachers, 16 educational supervisors, 27 correctional librarians, 3 GED testing personnel and 13 administrative and staff support personnel.
- FY 11 expenditures for education totaled \$13,571,084. As of July 13, 2011, additional expenditures were yet to be added.
- Intake testing is performed at two adult and two minor reception centers pursuant to ARS 31-229. Inmates are administered the Test of Adult Basic Education (TABE) and other diagnostic testing as required.
 - An average of 581 TABE tests are administered each month. Of these, an average of 62% does not meet the 8th grade functional literacy standard, and of that group approximately 36% does not even test at the 6th grade level.
- Inmates under the age of 22 years with a history of special education are enrolled in appropriate education programs, pursuant to ARS 15-1372. Of ADC's student population under the age of 22, a total of 33.7% are eligible for and provided special education services according to federal and state regulations.
 - The ADC population of special education students is greater than the average public secondary school.
- Inmates who do not meet the 8th grade literacy standard are enrolled in appropriate course instruction, and later retested for compliance, as required in ARS 31-240, 31-229 and 31-229.01.
 - During FY 11, a total of 7,981 inmate students were enrolled in functional literacy studies, with 5,400 meeting literacy standards before release.
- GED testing, pre-testing and instructional preparations are offered to interested inmate students as well as those governed by ARS 31-229.01. GED testing is performed by certified ADC testers.
 - During FY 11, a total of 9,039 inmate students were enrolled in optional GED studies at some time during the year, with 1,613 being tested in all five areas (pass rate is 69.7 %). An additional 335 inmates tested and passed at least one of the individual GED tests during incarceration and are continuing to prepare for the completion of the five-test battery.
 - The ADC pass rate of 69.7% is slightly higher than the state average pass rate of 69%.
- Extensive library services are provided inmates at all custody levels, to include scheduling and monitoring library visits and requests for books and materials; circulation of library materials; addressing legal resource visits and requests, and routine circulation of legal resource materials.

Career and Technical Education (CTE)

Career and Technical Education (CTE) is non-mandated vocational education that is presented at nine prison locations (excludes ASPC-Phoenix). CTE programming teaches inmates marketable entry-level work skills that can be utilized both in prison jobs and upon release. This important function also provides inmates with a productive daily activity and allows the opportunity to earn minimum WIPP wages while in training.

- CTE programming is provided through seven local community colleges, pursuant to annual contracts with ADC.
- FY 11 expenditures for CTE instruction totaled \$2,537,206; funding sources included A&R monies, general funds (new unit start up funds) and a federal grant award.
- During FY 11, CTE involved 36 contracted programs, representing 11 different occupational specialties.
- CTE programs are available at most minimum and medium security level units where during FY 11, an average of 2,400 inmates were enrolled department-wide each month.
- CTE instructional programs typically involve 4-8 individualized courses that teach industry standards, and are accredited courses for which students earn community college credits (commonly 9-27+) and Certificates of Completion or Competency.
- During FY 11 a total of 6,226 inmates participated in CTE, with 3,687 certificates being earned.

Incarcerated Individuals Program (IIP)

The “Workplace and Community Transition Training for Incarcerated Individuals Program” is a grant program funded by the U.S. Department of Education. Its purpose is to provide funding for eligible incarcerated persons to receive post secondary education in the form of either a vocational trade certificate and/or an academic college degree.

- IIP grant eligibility requires an inmate to be 35 years of age or under, possess a high school diploma or GED, be within seven years of release or parole eligibility, with no prior conviction for murder, a violent sexual offense, or a crime against a victim who was a minor.
- Eligible students funded by the IIP grant are limited to a total education allotment of up to \$3,000 per year.
- As of June 30, 2011, ADC had 7,435 inmates that technically met the federal grant criteria. However, ADC focuses its grant student enrollment efforts on those inmates who have at least 12 months age eligibility remaining, and those with 12-60 months remaining on their sentence. This more restrictive pool of inmates numbered 3,156 as of June 30, 2011.
- ADC’s FY 11 IIP grant award was \$677,112; this provided for an approximate funds distribution of 61% to support CTE training and 39% for academic post-secondary classes in furtherance of a college degree.

CTE Support: In ADC's IIP program, grant-eligible inmates will typically (first) attend community college instruction in one or more of available CTE education programs.

- CTE programs commonly involve 4-8 individualized courses, each providing 1-7 semester credit hours. CTE programs vary in length, but inmates usually complete programs in 4-12 months.
- IIP grant funds financially supplement ADC's CTE programs at an average annual rate of 12.5%. As such, FY 11 grant supplemental expenditures totaled \$259,491.

Academic Program: Students possessing CTE vocational experience may apply for community college instruction in academic subjects as part of an Associates Degree in Applied Science (A.A.S.) in Automotive Technology, Component Building Technology, Building Construction, Electronics/Cabling, Information Technology and/or Business Management.

- The academic portion of this grant program started in November 2009, and is now offered at seven prison complexes.
- During FY 11 a total of 236 grant-eligible students enrolled in the program, taking 476 classes while amassing a department-wide GPA of 3.32. The completion rate for classes was in excess of 90%.
- During FY 11, four inmates earned an AAS degree.

COUNSELING AND TREATMENT SERVICES

Addiction Treatment Services- ADC delivered treatment

- Delivered per ARS 31-201.01, part C which reads: The director may institute and pursue programs which promote the rehabilitation of the prisoners in the director's charge.
- 18 Counselor Positions
 - 12 currently filled
 - 2 in process to fill
 - 4 currently vacant
- In FY 11, total cost for ATS was \$847,244.
 - ATS had a total of 616 primary treatment graduates
 - Average cost per treatment hour, per graduate = \$11.86*
 - *treatment costs are actually lower per hour since this figure does not account for those who started but did not complete treatment and ancillary services such as pre-treatment and post treatment classes
- FY11, as of 6/30/11
 - 616 primary treatment graduates
 - 330 enrolled in primary treatment groups
- In May 2008, the results of an independent evaluation of ADC's Women In Recovery (WIR) treatment program was published. The report found that WIR significantly reduced recidivism when compared to a matched control group.
- A 2003 in house statistical analysis conducted by Dr. Daryl Fischer compared ten years worth of ADC released offenders who had completed substance abuse treatment while incarcerated to a comparable group of released offenders who had not engaged in services. Dr. Fischer controlled for risk and need level. Results showed that there was a 28.2 % reduction in parole revocations and recidivism for those inmates who had participated in substance abuse treatment.

Driving Under the Influence (DUI) Services- contracted treatment

- Delivered per ARS-31-255, part C which reads: Monies in the alcohol abuse treatment fund are subject to legislative appropriation to the department, and the director shall use the fund monies to provide alcohol abuse treatment and rehabilitation services to persons sentenced to the department pursuant to section 28-1381, 28-1382 or 28-1383 and to reduce the calculated cost of the per diem rate for treatment and rehabilitation services that are provided by a private prison authorized pursuant to section 41-1609.
- DUI services for male inmates are delivered at the private prisons and are part of the per diem rate.

- DUI services for females are delivered through a contract provider at Perryville/
 - Cost per inmate, per service hour = \$16.00
- FY11
 - 796 graduates of legislated 36 hour program
- FY11, as of 6/30/11
 - 796 graduates of legislated 36 hour program
 - 213enrolled legislated 36 hour program

Sex Offender Treatment

- Delivered per ARS 31-201.01, part C which reads: The director may institute and pursue programs which promote the rehabilitation of the prisoners in the director's charge.
- 3 Counselor Positions
 - All filled
- In FY 11, total cost for SOETP was \$173,384.
 - SOETP had a total of 48 primary treatment graduates
 - Average cost per treatment hour, per graduate =\$18.43*
 - *treatment costs are actually lower per hour since this figure does not account for those who started but did not complete treatment and ancillary services such as pre treatment and post treatment classes
- FY11, as of 6/30/11
 - 48 primary treatment graduates
 - 54 enrolled in primary treatment groups

Sex Offender- contracted treatment

- Services for male inmates are delivered at CACF and are part of the per diem rate.
- FY11
 - 97 primary treatment graduates
- FY11, as 6/30/11
 - 97 primary treatment graduates
 - 171 enrolled in primary treatment groups

ARIZONA CORRECTIONAL INDUSTRIES

Arizona Correctional Industries - Purpose

- Established per ARS 41-1622, part B which reads: Arizona Correctional Industries shall pay all obligations in accordance with section 41-1624 at any location under the control of Arizona correctional industries. The industries or enterprises shall be conducted for the employment of prisoners for the purposes of manufacturing or producing such articles or products or providing services as may be needed for the construction, operation, maintenance or use of any office, department, institution or agency supported in whole or in part by a state or its political subdivisions or for sale to the public.
- ACI is a self-funded agency that has the following staff positions:
 - 187 authorized positions
 - 148 positions filled
 - 39 current vacancies
- In FY 11, ACI achieved revenues of \$33,600,000.
 - Net income was \$2,600,000
 - Operating costs \$31,000,000
 - Wages paid to inmates were \$8,881,093
 - Inmate deductions paid for Room & Board (Gen. Fund) \$2,475,049
- Inmate hours worked totaled 3,505,603.
 - 2,237,716 inmate hours worked for private sector labor contracts
 - 1,267,887 inmate hours worked in ACI shops
- A March 2010 recidivism study by the Arizona Prosecuting Attorney's Advisory Council, "Prisoner's in Arizona, A Profile of the Inmate Population," states: "Among the programs evaluated, Arizona Correctional Industries (ACI) proved to be the most effective, reducing recidivism by 31.6%."

Effect & Impact to the State Economy

- According to the Arizona State University, WP Carey School of Business, the financial contributions ACI made to the State's economy in FY 2010 was \$186,424,774. The same study indicated that this equals an estimated 1,774 jobs for citizens of Arizona.

ACI Labor Contracts – Private Sector Partners

- The following is the current list of active partnerships using inmate labor:
 - ADOT MVD – 56 female inmates
 - American Curb and Vent – 8 male inmates
 - American Manufacturing – 12 male inmates
 - Arizona Cotton Council – 14 male inmates;6 female inmates (seasonal)
 - AZ Structural Laminators – 8 male inmates
 - Cargill, Inc – 12 male inmates
 - Common Market East/West (Swift) – 155 male inmates
 - Continental Tires – 7 male inmates
 - Cooley Industries – 10 male inmates
 - ESB Modular – 20 male inmates
 - Eurofresh (Wilcox and Snowflake) – 396 male inmates
 - Fiesta Canning – 20-108 male inmates (seasonal)
 - First Services – 16 male inmates
 - Greater Auto Auction – 30 female inmates
 - H&H Trailer (PIECP) – 18 male inmates
 - Hickman Egg Ranch (Maricopa and Buckeye) – 112 male inmates;49 female inmates
 - Martori Farms – 18 male inmates;18-144 female inmates (seasonal)
 - Morrison Management – 9 female inmates
 - Pinal Energy – 8 male inmates
 - Safety Services – 56 male inmates
 - Southeast Medical – 10 male inmates
 - Televerde – 198 female inmates

ACI Owned and Operated Businesses

- ACI is responsible for all marketing, manufacturing, management, and procurement for these programs:
 - Bakery
 - Sewing-Douglas, Perryville, Florence (3 shops)
 - Sign Shop
 - Commodity Products (Plastic bags, cardboard boxes)
 - Mattresses
 - Farm (900 acres)
 - Metal Fabrication
 - License Plates

- Wood Shop (including refurbishing)
- Small Business Center (data fulfillment, small assembly)
- Print Shop
- Packaging/Recycling
- Installation Services
- Retail Store (Florence)

Historical Fund Transfers

In addition to the deductions from ACI inmate workers that are transferred to the General Fund, the following transfers have an impact on ACI's ability to create new programs and employ more inmates in skills that will reduce recidivism and provide a greater economic benefit to the State.

- FY 2011 \$328,000 Net Income \$2,600,000
- FY 2010 \$5,439,900 Net Income \$3,066,036

Since 1999 \$18,791,397 was transferred out of ACI operations. During this same period the Net Income was \$17,303,521.

RELIGIOUS SERVICES

Basic Program Information

- Approximately 90% in the Arizona Department of Corrections declare a chosen religious preference
- On the average approximately 40 - 45% of inmates declaring a religious preference are active in scheduled religious services
- Religious programming is overseen/conducted by chaplains and volunteers
 - 32 full time correctional chaplains
 - 750 religious volunteers
- 28,593 religious services were scheduled/conducted during FY 2011
 - 20,353 conducted by volunteers (71 %)
 - 8,230 overseen/conducted by staff chaplains (29%)
- 42,096 hours donated by religious volunteers in FY 2011
 - Equates to approximately 20 additional full time chaplain positions
 - Estimated dollar value of donation: \$720,000

Inmate Work Programs/ Work Incentive Pay Program (WIPP)

Department Order 903 establishes criteria and procedures that ensure the lawful, safe and secure operation of Department and inmate work programs, in accordance with sound correctional practice. This Department Order further establishes consistent administration of the Work Incentive Pay Plan (WIPP). Additionally, it assists inmates to prepare for re-entry by acquiring skills including literacy, employability and sobriety. Work opportunities are provided in settings as much like the real world as possible to provide inmates with every opportunity to practically apply newly acquired skills throughout their incarceration.

INMATE WORK PROGRAMS AUTHORITY

- A.R.S. 11-952 - Intergovernmental Agreements and Contracts
- A.R.S. 28-403 - Interstate Agreements; Commercial Vehicle Registration
- A.R.S. 28-1381 - Driving or Actual Physical Control While Under the Influence
- A.R.S. 31-233 - Order for Removal; Purposes; Duration; Failure to Return; Classification
- A.R.S. 31-229 – Functional Literacy Program; Evaluation; Certificate; Exemptions; Wages; Definition
- A.R.S. 31-251 - Hard Labor Required of Prisoners
- A.R.S. 31-252 - Use of Prisoners in Public Works; Cooperative Prisoner Labor System
- A.R.S. 31-254 - Compensation for Labor Performed
- A.R.S. 31-255 - Alcohol Abuse Treatment Fund
- A.R.S. 32-321.7 - Services Performed by and for Persons in the Custody of the State Department of Corrections

The average number of inmates who work a WIPP job each day in ADC: 18,111

(This does not include ACI, IGAs, etc.)

The average hourly WIPP wage for ADC inmates: 34 cents

Refer to the tables below for WIPP pay information:

DEPARTMENT ORDER 903 - ATTACHMENT A

WIPP PAY SCALE

Table 1: Basic Pay Scale – WIPP

Work Skill	Not Met F List	Phase I		Phase II		Phase III	
		Base	Max	Base	Max	Base	Max
Unskilled	0.10	0.15	0.25	0.20	0.30	0.25	0.40
Semi-Skilled	0.10	0.15	0.25	0.25	0.35	0.30	0.45
Skilled	0.10	0.15	0.25	0.30	0.40	0.35	0.50

Table 2: Incentive Pay Modifications to Basic Pay Scale – WIPP & ACI

Pay Modification Criteria	All Phases
* Completed GED +.05	+0.05
** Refused or Removed from a program	Base pay only (per phase I)
Evaluation Ratings (work and/or program) – Exceeds	+.05*
Evaluation Ratings (work and/or program) –	Base pay 90 days

* If inmate has not met functional literacy standard, inmate is ineligible for a pay modification increase.

** Does not apply for a refusal or removal that was from a program with a code beginning with D, X, or Z (e.g. XED)

Top Ten Work Incentive Pay Program (WIPP) jobs in ADC

	Job Title	# of Inmates Employed
1.	Porter-Building	3,463
2.	Groundskeeper	2,641
3.	Kitchen Helper	2,224
4.	Porter - other labor	1,141
5.	Kitchen Cook	1,045
6.	Kitchen Cook Helper	610
7.	Maintenance Helper	464
8.	Porter - Laundry	427
9.	Inmate Barber/Beautician	400
10.	Recreation Worker	287

Top Ten Inter-Governmental Agreements (IGAs) with ADC

	Contracted Agency
1.	State Land
2.	ADOT
3.	ADOA
4.	City Sierra Vista
5.	City Whetstone
6.	St. Mary's Food Bank
7.	Marana
8.	City Somerton
9.	Pima Animal Control
10.	City Douglas

There are 153 IGAs currently in contract with ADC.

These offer a total of approximately 1,796 employment opportunities for inmates.

In FY11 IGAs have accounted for an approximate \$14,300,000 in tax payer savings.

Programs		Mandated	Not Mandated	If mandated, Legal cite/statute	Grant Funding*

EDUCATION:

Minors / General					
	Functional Literacy and GED	X		A.R.S. 15-1372	Supplemental Funding - Title I Grant
Adult/General:					
	Functional Literacy	X		A.R.S. 31-229	
	GED		X		
	Special Education Services	X		A.R.S. 15-764	Supplemental Funding - IDEA Basic and Secure Care Grants
	Law/Library Services	X		1st Amendment Right to the Courts (Lewis v. Casey)	
	General Library Services		X		
	TABE Testing (For Functional Literacy)	X		A.R.S. 31-229	

CTE:

	CTE Contracts with the Community Colleges		X		A&R Funds; Supplemental funds from IIP Grant
	Incarcerated individuals Program (IIP) Grant (provides federal funding for qualified inmates with both post-secondary academic and vocational education that will facilitate successful re-entry upon release)	X		Required to provide services under the contractual conditions of the Federal grant.	Fully Grant Funded

TREATMENT PROGRAMS:

	Sex Offender Treatment		X		
	Substance Abuse Treatment		x	Mandated for participants in A.R.S. 31-281/286 or Partially Funded A.R.S. 31-281/286	
	Progressive Recovery- Residential Substance Abuse Treatment for Sex Offenders (RSAT)		X		Partially Grant Funded (75%)
	Alcohol Abuse Treatment and Rehabilitation Services	X		A.R.S. 31-255	
	Transition Program for Non-Violent Offenders	X		A.R.S. 31-281 /286	

Programs		Mandated	Not Mandated	If mandated, Legal cite/statute	Grant Funding*
HEALTH:					
	Inmate Right to Health Care	X		8th Amendment to the Constitution - Estelle v. Gamble (1976), 429 U.S. 97,106, 97 S.Ct.285,291-92, 50L.Ed.2d 251; Wellman v. Faulkner, 715 F2d 269, 272 (7th Cir.1983) cert.denied, 468 U.S. 1217	
	Provide Medical and Health Care	X		A.R.S. 31-201.01(D)	
	Provide Psychiatric Care	X		A.R.S. 31-201.01 (B)	
	Inmate Health Education Including:				
	Tobacco Education Program Services (TEPS)		X	Required to provide smoking cessation	Supported by grant from TEPS
	Health Education Prevention and Survival (HEPS)		X	To provide prevention education on HIV, Sexually Transmitted Diseases and Hepatitis	Was supported by CDC grant in partnership with Maricopa County Public Health Dept. Currently using sustainability funds from the grant for materials
	Health Educational Services with inmates before release back to Pima County		X	To provide prevention education on HIV, Sexually Transmitted Diseases, and Hepatitis	Supported by the Southern Arizona AIDS Foundation
	Minors Health Education Services	X		To establish a Wellness Program for Minors in ADC custody	Supported by Federal Grant: The National School Lunch Program
	Ryan White Transitional Case Mgt. Grant (HIV/AIDS Positive Inmates re-entry)	X		Required to provide services under the contractual conditions of the Federal grant	Fully Grant Funded
OTHER:					
	Religious Services	X		A.R.S. 31-201.01/ 31-206; A.R.S. 41-1493.01; Religious Land Use and Institutionalized Persons Act of 2000.	
	Restorative Justice		X		
	Impact of Crime on Victims (ICVC)		X		
	Cognitive Restructuring Instruction		X		
	Conflict Resolution		X		
	Cultural Diversity Instruction		X		
	Re-entry classes		X		Grant Funded. Due to expire 6-30-11
	Domestic Violence Instruction		X		
	Alcohol / Narcotics Anonymous		X		Provided by Volunteers
	Teaching Offenders to Live	X		A.R.S. 41-1609.06	

* Grant Funded programs are contractual obligations with the Federal Government for the duration of the program and may be considered mandated.

8. 5,000 New Private Beds



5,000 Private Prison Beds Overview

- ❑ **September 3, 2009:** State of Arizona, Laws 2009, 3rd Special Session, Chapter 6 (HB 2010), Section 37, requires 5,000 private prison beds.
 - RFP to be submitted to JLBC no later than November 1, 2009.
- ❑ **October 30, 2009:** ADC submitted draft RFP to JLBC.
- ❑ **December 17, 2009:** JLBC Meeting to consider RFP cancelled.
- ❑ **February 2, 2010:** JLBC considered the RFP in Executive Session and gave it a favorable review.
- ❑ **February 23, 2010:** ADC publicly released the RFP.
- ❑ **May 28, 2010:** RFP Proposals final due date.
- ❑ **Four Proposals Submitted:**
 - Corrections Corporation of America (CCA)
 - Emerald Management Corporation
 - The GEO Group, Inc.
 - Management & Training Corporation (MTC)
- ❑ **June-October, 2010:** Evaluation of Proposals.

5,000 Private Prison Beds Overview

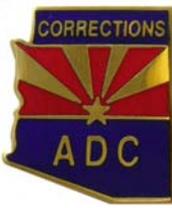


- ❑ **September 1, 2010:** In response to the ASP-Kingman escape and given the priority of public safety, ADC cancelled the RFP in order to revise and strengthen the RFP.
- ❑ **Revised RFP:**
 - Expanded past performance information and requested for all years of operation rather than just last 10 years. Established that both current and prior Contract performance will be considered.
 - Expanded occurrence information and requested for last 10 years of operation by facility and year rather for last 12 months. Established that occurrences will be compared to ADC State Prison standards.
 - Established that if information reveals negligent or wrongful acts, the Offeror may be deemed unresponsive and ineligible for contract award.
 - Required annual re-certification of all security systems.
 - Required staff mechanisms to report problems.
 - Required private prison coverage of emergency costs incurred by federal and other jurisdictions.
 - Established enhanced ADC contract monitoring requirements:
 - Required performance outcomes and measures and reporting requirements.
 - Established additional monetary offsets for failure to comply with corrective action plan and egregious violations that pose a threat to public safety.
 - Established intermediate sanctions to be used under exigent circumstances.

5,000 Private Prison Beds Overview



- December 14, 2010:** Revised RFP considered by JLBC in Executive Session and given favorable review.
- January 24, 2011:** ADC publicly released the RFP.
- February 24, 2011:** RFP Proposals final due date.
- Five Proposals Submitted:**
 - Corrections Corporation of America (CCA)
 - Emerald Management Corporation
 - The GEO Group, Inc.
 - LaSalle Corrections
 - Management & Training Corporation (MTC)
- April-May 2011:** Evaluation of Proposals/Vendor Discussion Meetings.
- Mid June 2011:** Final Proposal Revisions due date.
- July 1, 2011:** Evaluation of Final Proposal Revisions/Recommendation for Potential Award.
- July 2011:** Notification to Elected Officials (A.R.S. § 41-1609.02(E)) and Notification/Advertisement of Proposed Site Hearings (A.R.S. § 41-1609.02(F)).
- September 2011:** Final Contract Award.



Health Services Privatization Overview

- ❑ **September 3, 2009:** State of Arizona, Laws 2009, 3rd Special Session, Chapter 6, HB 2010, Section 26, parts B, C, and D, requires privatization of all correctional health services, in all 10 state owned/operated prisons, at a cost below the fiscal year 2007-2008 total cost to the state for such services.
 - RFI to be submitted to JLBC no later than October 1, 2009.
 - RFP to be submitted to JLBC no later than January 1, 2010.
- ❑ Correctional Health Care Services to be privatized include:
 - Medical Services
 - Dental Services
 - Pharmacy Services
 - Mental Health Services
 - Administration of Third-Party Services



Health Services Privatization Overview

- ❑ **September 11, 2009:** ADC sent RFI, which had been released by ADC on July 13, 2009, to JLBC leadership.
- ❑ **December 28, 2009:** ADC submitted draft RFP to JLBC.
- ❑ **February 3, 2010:** JLBC considered the RFP on Executive Session and gave it a favorable review with provisions:
 - ADC clarify that Offerors can exceed costs for some services as long as total cost is below the aggregate FY 2008 cost for all services bid.
 - ADC include FY 2008 costs in the RFP. ADC identified that the FY 2008 cost for correctional health services was **\$137,375,452**; but that a portion, not to exceed \$6,300,000, would be retained by the Department for indirect operating costs borne by ADC and not the Contractor.
 - ADC calculate FY 2008 costs identified in the RFP using FY 2008 average daily population.
 - ADC eliminate the requirement that an Offeror have a minimum of 5 years experience providing health care services to a daily population of 10,000 clients. ADC changed this from a requirement to a preference.
 - ADC “report [back] to the JLBC, upon [prior to] awarding any contracts, the respective per diem rates.”
- ❑ **February 19, 2010:** ADC revised the RFP to include JLBC provisions and publically released the RFP.



Health Services Privatization Overview

- ❑ **May 24, 2010:** RFP Proposals final due date.
- ❑ **Eight Proposals Submitted:**
 - **Non-susceptible Vendors:** Submitted proposals outside of the five services areas:
 - Mobile On-Site Mammography
 - Antiqua Medical Services
 - **Susceptible Vendors:**
 - Correctional Health Partners
 - HMA, Inc.
 - MHM Correctional Services, Inc.
 - Correctional Medical Services
 - Prison Health Services
 - Wexford Health Sources, Inc.
- ❑ **June-August, 2010:** Evaluation of Proposals/Vendor Discussion Meetings.
- ❑ **September 8, 2010:** Final Proposal Revisions due date.
- ❑ **October 6, 2010:** Second Final Proposal Revisions due date.
- ❑ **December 14, 2010:** ADC Report on the RFP considered by JLBC in Executive Session, no action required or taken.



Health Services Privatization Overview

- ❑ **March 25, 2011:** ADC cancelled the RFP in anticipation of new legislation related to the privatization of Correctional Health Services.
- ❑ **April 27, 2011:** State of Arizona Laws 2011, 1st Regular Session, Chapter 278 (HB 2154) removed the original requirement requiring ADC to award a contract at a cost below the ADC FY 2007-2008 total cost and requires a new RFI and RFP process:
 - Requires ADC to issue a RFI, within 30 days.
 - Requires the RFI responses within 30 days of RFI issuance.
 - Requires ADC to inform JLBC in Executive Session of any information submitted.
 - Requires ADC to issue a RFP, within 90 days after JLBC review.
 - Requires ADC to award a contract to the best qualified bidder.
- ❑ **May 27, 2011:** ADC publicly released RFI.
- ❑ **June 27, 2011:** Final RFI Responses due date.

**ARIZONA DEPARTMENT OF CORRECTIONS
STATE AND CONTRACT PRISON COST COMPARISON
FY 2010**

Description	MINIMUM CUSTODY STATE BEDS			MINIMUM CUSTODY IN-STATE CONTRACT BEDS		
	ADP	TOTAL COSTS	DAILY PER CAPITA COST	ADP	TOTAL COSTS	DAILY PER CAPITA COST
Unadjusted Expenses (including Admin Adjustments)	10,002	\$ 202,930,185	\$ 55.59	2,979	\$ 58,936,609	\$ 54.20
Medical Cost Adjustment (1)		(34,426,384)	(9.43)		(8,307,239)	(7.64)
Inmate Management Functions Adjustment (2)		(3,575,864)	(0.98)			
Depreciation - State Prison Beds Adjustment (3)		5,147,529	1.41			
Adjusted Cost Comparison	10,002	170,075,466	46.59	2,979	50,629,370	46.56

Description	MEDIUM CUSTODY STATE BEDS			MEDIUM CUSTODY IN-STATE CONTRACT BEDS		
	ADP	TOTAL COSTS	DAILY PER CAPITA COST	ADP	TOTAL COSTS	DAILY PER CAPITA COST
Unadjusted Expenses (including Admin Adjustments)	12,873	272,395,685	\$ 57.97	1,648	36,485,529	\$ 60.66
Medical Cost Adjustment (1)		(47,362,342)	(10.08)		(4,595,613)	(7.64)
Inmate Management Functions Adjustment (2)		(4,135,094)	(0.88)			
Depreciation - State Prison Beds Adjustment (3)		6,625,089	1.41			
Adjusted Cost Comparison	12,873	227,523,338	48.42	1,648	31,889,916	53.02

ADJUSTMENTS

- (1) Medical Cost Adjustment:** For state beds, inmate health care costs are actual costs which have been identified and excluded due to the limitations imposed by private bed contractors concerning inmates physical and mental health scores and, in some cases, medical cost cap exclusions. The adjustment for private contractors is based on a weighted average developed using the individual contract fee schedules.
- (2) Inmate Management Functions Adjustment:** Where identifiable, costs for functions provided by ADC that are not provided by private contractors are excluded. An enclosed chart identifies those functions, as well as other functions provided by ADC for which costs could not be identified.
- (3) Depreciation Adjustment:** State prison buildings depreciation is added as an expense to the daily prison bed costs since contract bed providers include the costs of financing and depreciation in their daily per diem rates. (Source of depreciation is the Arizona Department of Administration)

INMATE MANAGEMENT FUNCTIONS

IS THE FUNCTION PROVIDED BY:

STATE PRISONS

CONTRACT PRISON BEDS

Functions with identified costs used for adjustments for cost comparison:

- Inmate Discharge Payments	Yes	No	
- Inter-Prison Transportation	Yes	No	
- Medical, Dental and Mental Health Treatment	Yes	Contractual Restrictions	(1)
- Reception and Classification	Yes	No	
- Kennels - Security Dogs	Yes	No	
- Work Incentive Pay Programs	Yes	See note	(2)

Functions with unidentified costs:

- Access to ACJIS or NCIC databases, or confidential AIMS screens	Yes	No	(3)
- Background Checks of Visitors, Employees and Contract Vendors	Yes	No	(3)
- Community Supervision and Final Review of Release Packets	Yes	No	(3)
- Criminal Investigations	Yes	No	(3)
- Death Row / Executions	Yes	No	(3)
- Detention Determinations	Yes	ADC must approve	(3)
- Discharge Processing, Payments and Home Release Programs	Yes	No	(3)
- Discipline Determinations	Yes	No	(3)
- Hard Labor and Programming Requirements Compliance	Yes	ADC must approve	(3)
- Reclassification and Movement	Yes	No	(3)
- Time Computation/Release Dates and Credits Calculations	Yes	No	(3)
- Warrants and Due Process Hearings for Executive Clemency	Yes	No	(3)
- Close and Maximum Custody Inmates	Yes	No	
- Constituent Services/Inmate Family and Friends	Yes	No	
- Coordination with County Attorneys under Arizona's Sexually Violent Persons Statutes	Yes	No	
- Criminal Aliens Processing with ICE	Yes	Diamondback Only	
- "Do Not House With" Determinations	Yes	No	
- Earned Incentive Program	Yes	In-State Only	
- Emergency Escorted Visits	Yes	In-State Only	
- Fugitive Apprehension	Yes	No	
- Interstate Compact Processing/Determinations	Yes	No	
- Minors	Yes	No	
- Protective Segregation Determinations	Yes	No	
- Return of Eligible Foreign Born Inmates to Home Country	Yes	No	
- Revocation Hearings	Yes	No	
- Sex Offender Notification and DNA Testing	Yes	No	
- Sex Offender Treatment Programs	Yes	CACF Only	
- Special Education Services (SPED) up to age 22	Yes	Yes/No	(4)
- Victim Services	Yes	No	

- (1) All ADC's private contractors have contractual restrictions for medical (M) and mental health (MH) services based either on an inmates M/MH score, or a medical cost cap, which result in lower costs for the contractors. ADC must provide services to all in
- (2) Contractors manage work programs as approved by ADC, however inmate wages (WIPP) are paid by ADC and are not included in contractors per diem rates.
- (3) Per Arizona statutes, certain functions cannot be delegated. Other functions may be performed by Contractors, but only with ADC approval. (A.R.S. 41-1604, 41-1609.01 and 41-1750).
- (4) Kingman staff indicated an inability to hire SPED qualified teachers, which prohibited placement of inmates with SPED needs during this period. Verbal discussions with Great Plains and Diamondback facilities staff also restricted SPED inmates from placem

**ARIZONA DEPARTMENT OF CORRECTIONS
STATE AND CONTRACT PRISON COST COMPARISON
FY 2009**

Description	MINIMUM CUSTODY STATE BEDS			MINIMUM CUSTODY IN-STATE CONTRACT BEDS		
	ADP	TOTAL COSTS	DAILY PER CAPITA COST	ADP	TOTAL COSTS	DAILY PER CAPITA COST
Unadjusted Expenses (including Admin Adjustments)	8,896	\$ 190,961,744	\$ 58.81	2,962	\$ 59,293,713	\$ 54.84
Medical Cost Adjustment ⁽¹⁾		(38,542,365)	(11.87)		(8,259,833)	(7.64)
Inmate Management Functions Adjustment ⁽²⁾		(681,878)	(0.21)			
Depreciation - State Prison Beds Adjustment ⁽³⁾		779,290	0.24			
Adjusted Cost Comparison	8,896	152,516,791	46.97	2,962	51,033,880	47.20

Description	MEDIUM CUSTODY STATE BEDS			MEDIUM CUSTODY IN-STATE CONTRACT BEDS		
	ADP	TOTAL COSTS	DAILY PER CAPITA COST	ADP	TOTAL COSTS	DAILY PER CAPITA COST
Unadjusted Expenses (including Admin Adjustments)	11,297	249,882,964	\$ 60.60	1,334	30,646,377	\$ 62.94
Medical Cost Adjustment ⁽¹⁾		(48,944,817)	(11.87)		(3,719,992)	(7.64)
Inmate Management Functions Adjustment ⁽²⁾		(3,322,785)	(0.81)			
Depreciation - State Prison Beds Adjustment ⁽³⁾		989,617	0.24			
Adjusted Cost Comparison	11,297	198,604,979	48.16	1,334	26,926,385	55.30

ADJUSTMENTS

- (1) Medical Cost Adjustment:** For state beds, inmate health care costs are actual costs which have been identified and excluded due to the limitations imposed by private bed contractors concerning inmates physical and mental health scores and, in some cases, medical cost cap exclusions. The adjustment for private contractors is based on a weighted average developed using the individual contract fee schedules.
- (2) Inmate Management Functions Adjustment:** Where identifiable, costs for functions provided by ADC that are not provided by private contractors are excluded. An enclosed chart identifies those functions, as well as other functions provided by ADC for which costs could not be identified.
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IS THE FUNCTION PROVIDED BY:

STATE PRISONS

CONTRACT PRISON BEDS

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- Reception and Classification	Yes	No	
- Kennels - Security Dogs	Yes	No	
- Work Incentive Pay Programs	Yes	See note	(2)

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**ARIZONA DEPARTMENT OF CORRECTIONS
PER CAPITA COSTS FOR STATE PRISON BEDS
FY 2009 AND FY 2010**

FY 2009									
STATE PRISONS (1)	ADP	TOTAL DIRECT	TOTAL INDIRECT	TOTAL EXPENSES	DIRECT	INDIRECT	TOTAL	UNADJUSTED DAILY PER CAPITA	ADJUSTED DAILY PER CAPITA (2)
Custody Levels									
MINIMUM CUSTODY	8,896	181,281,346	9,680,398	190,961,744	20,377.85	1,088.17	21,466.02	58.81	46.97
MEDIUM CUSTODY	11,297	237,582,034	12,300,930	249,882,964	21,030.54	1,088.87	22,119.41	60.60	48.16
CLOSE CUSTODY	6,452	169,095,664	7,440,248	176,535,912	26,208.26	1,153.17	27,361.42	74.96	62.14
MAXIMUM CUSTODY	3,721	98,521,718	4,272,492	102,794,211	26,477.22	1,148.21	27,625.43	75.69	63.22
Total State Prison Beds									
STATE PRISON	30,366	686,480,762	33,694,069	720,174,831	22,606.89	1,109.60	23,716.49	64.98	52.63

FY 2010									
STATE PRISONS (1)	ADP	TOTAL DIRECT	TOTAL INDIRECT	TOTAL EXPENSES	DIRECT	INDIRECT	TOTAL	UNADJUSTED DAILY PER CAPITA	ADJUSTED DAILY PER CAPITA (2)
Custody Levels									
MINIMUM CUSTODY	10,002	193,057,614	9,872,571	202,930,185	19,301.90	987.06	20,288.96	55.59	46.59
MEDIUM CUSTODY	12,873	259,310,169	13,085,515	272,395,685	20,143.72	1,016.51	21,160.23	57.97	48.42
CLOSE CUSTODY	4,871	119,520,459	5,598,434	125,118,893	24,537.15	1,149.34	25,686.49	70.37	60.59
MAXIMUM CUSTODY	3,297	83,782,476	3,877,197	87,659,673	25,411.73	1,175.98	26,587.71	72.84	63.93
Total State Prison Beds									
STATE PRISON	31,043	655,670,720	32,433,717	688,104,437	21,121.37	1,044.80	22,166.17	60.73	51.39

(1) Numbers do not include Partial Year operated units and Specialized Units. Specialized Units include Inmate Reception and Classification, Testing and High Level Mental Health Treatment.

(2) Includes adjustments for depreciation expenses that are not actually incurred by ADC. The adjustment for depreciation expense is \$0.24 for FY 2009 and \$1.41 for FY 2010.

Revised to include the depreciation expense in the adjusted daily per capita on January 19, 2011.



Bed Plan

With Activation of:

5,000 Private Male Prison Beds Activated

1,000 State Male Maximum Prison Beds

500 State Female Medium Prison Beds

April 30, 2013 - June 30, 2015



June 30, 2011

Actual Operating Capacity

Rated Beds:	37,109			
Temporary Beds:	<u>5,842</u>			
Total Operating Capacity:	42,951			
	Rated	Temporary	Total OC	Population
State Beds	31,259	5,154	36,413	
Private Contract Beds	5,850	688	6,538 (15%)	
Provisional Beds	0	0	0	
Total	37,109	5,842	42,951	40,181

April 30, 2013

Forecasted Operating Capacity With 2,000 Private Beds Activated



Rated Beds:	39,189
Temporary Beds:	<u>4,941</u>
Total Operating Capacity:	44,130

	Rated	Temporary	Total OC	Population
State Beds	31,339	4,253	35,592	
Private Contract Beds	7,850	688	8,538 (19%)	
Total	39,189	4,941	44,130	41,472*

* Forecasted Monthly Population Growth: FY 2012 at 42.0; FY 2013 at 75; FY 2014-FY2015 at 100.



5,000 Private Prison Beds

- ❑ ADC needs all 5,000 private prison beds to meet population growth between FY 2013 and FY 2015.
- ❑ ADC is fully committed to these beds and to maintaining an ongoing balance of private and public prison options for minimum custody and medium custody inmates, including additional private beds after FY 2015.

June 30, 2015

Forecasted Operating Capacity

With 5,000 Private Male Beds Activated*; 1,000 State Male
Maximum Beds; 500 State Female Medium Beds



Rated Beds: 43,609
Temporary Beds: 4,621
Total Operating Capacity: 48,230

	Rated	Temporary	Total OC	Population
State Beds	32,759	3,933	36,692	
Private Contract Beds	10,850	688	11,538 (24%)	
Total	43,609	4,621	48,230	43,922**

* The remaining 3,000 Private Beds would be brought on in FY 2014 and FY 2015.

** * Forecasted Monthly Population Growth: FY 2012 at 42.0; FY 2013 at 75; FY 2014-FY2015 at 100



5,000 Private Prison Beds

- ❑ ADC can accommodate prison population growth through April 30, 2015 by maximizing the use of state rated and temporary beds and incrementally adding the new 5,000 private prison beds between April 30, 2013 and April 30, 2015.

- FY 2013: 2,000
 - FY 2014 - FY 2015: 3,000
- 5,000

- ❑ The ADC forecasted operating capacity, after all 5,000 beds are added:

April 30, 2015	Rated	Temporary	Total OC	Population
State Beds	32,759	3,933	36,692	
Private Contract Beds	10,850	688	11,538 (24%)	
Total	43,609	4,621	48,230	43,922

*Additional State Maximum Male Beds and State Medium Female Beds will also be needed before FY 2015.



Budget Implications

- ❑ Benefits:
 - Cost is deferred and staggered.
 - Delayed activation improves control, enhances oversight and preparation, and improves safety.

- ❑ The original RFP for the 5,000 beds, which was cancelled on September 1, 2010, was reissued in late January, 2011 and the Final Award should be let in September 2011.

Inmate Classification

- Most prison systems in the United States use custody levels equivalent to minimum, medium, close, and maximum. The types of inmates classified into these custody levels are also generally the same, with minimum custody housing low risk inmates, medium custody housing moderate risk inmates, close custody housing high risk inmates, and maximum custody housing highest risk to public and staff.
- In order to determine risk and place an inmate into the appropriate custody level, Arizona, like most states, uses an objective scoring system that considers criminal history (current and prior convictions; length of sentence; time remaining prior to release; prison behavior (prison violence and discipline record); escape history; sex offender status; age; and gang affiliation.

Inmate Classification

The following guidelines apply for placement in Arizona prisons:

- Inmates sentenced to Death are classified to Maximum, never to reduce.
- Inmates who have been validated as a security threat group member are classified to maximum custody.
- Inmates sentenced to Life must serve at least two (2) years in maximum custody.
- Inmates sentenced to Life, after serving two (2) years in maximum, must serve an additional three (3) years in close before they are eligible to reduce to medium.
- Inmates sentenced to Life can not reduce below medium custody.
- Inmates with more than five (5) years to serve can not reduce below medium custody.
- Inmates with a felony sex offense conviction can not reduce below medium custody.
- Inmates with a felony detainer can not reduce below medium custody.
- Inmates sentenced for 1st degree murder, 1st degree attempted murder, 2nd degree murder, or 2nd degree attempted murder are eligible to reduce to minimum only if they are within five (5) years of release.

ADC Custody Levels

- **Minimum Custody:** Low risk inmates. Permitted to work outside the secured perimeter of a facility. May participate in community work crews and do not require controlled movement within the facility where they are housed.
 - About 75% have been sentenced to non-violent crimes. A majority of the minimum custody inmates are sentenced to Class 4, 5 & 6 felonies which consist of: Drug Offenses, DUI, Forgery, Theft and Burglary.
 - Average length of sentence is 4.1 years
 - 0.5% have security threat group affiliations
 - History of institutional violence: 1 violent discipline per every 8 inmates
- **Medium Custody:** Inmates representing a moderate risk to public and staff. May not work outside the secured perimeter of a facility unless under armed supervision and require limited controlled movement within the facility where they are housed.
 - About 55% have been sentenced to violent crimes which consist of, but not limited to: Assaults, Sex Offenses, Robbery and Weapons; about 45% consist of: Drug Offenses, DUI, Forgery, Theft and Burglary.
 - Average length of sentence is 9.7 years.
 - 5.4% have security threat group affiliations.
 - History of institutional violence: 1 violent discipline per every 2 inmates.

ADC Custody Levels

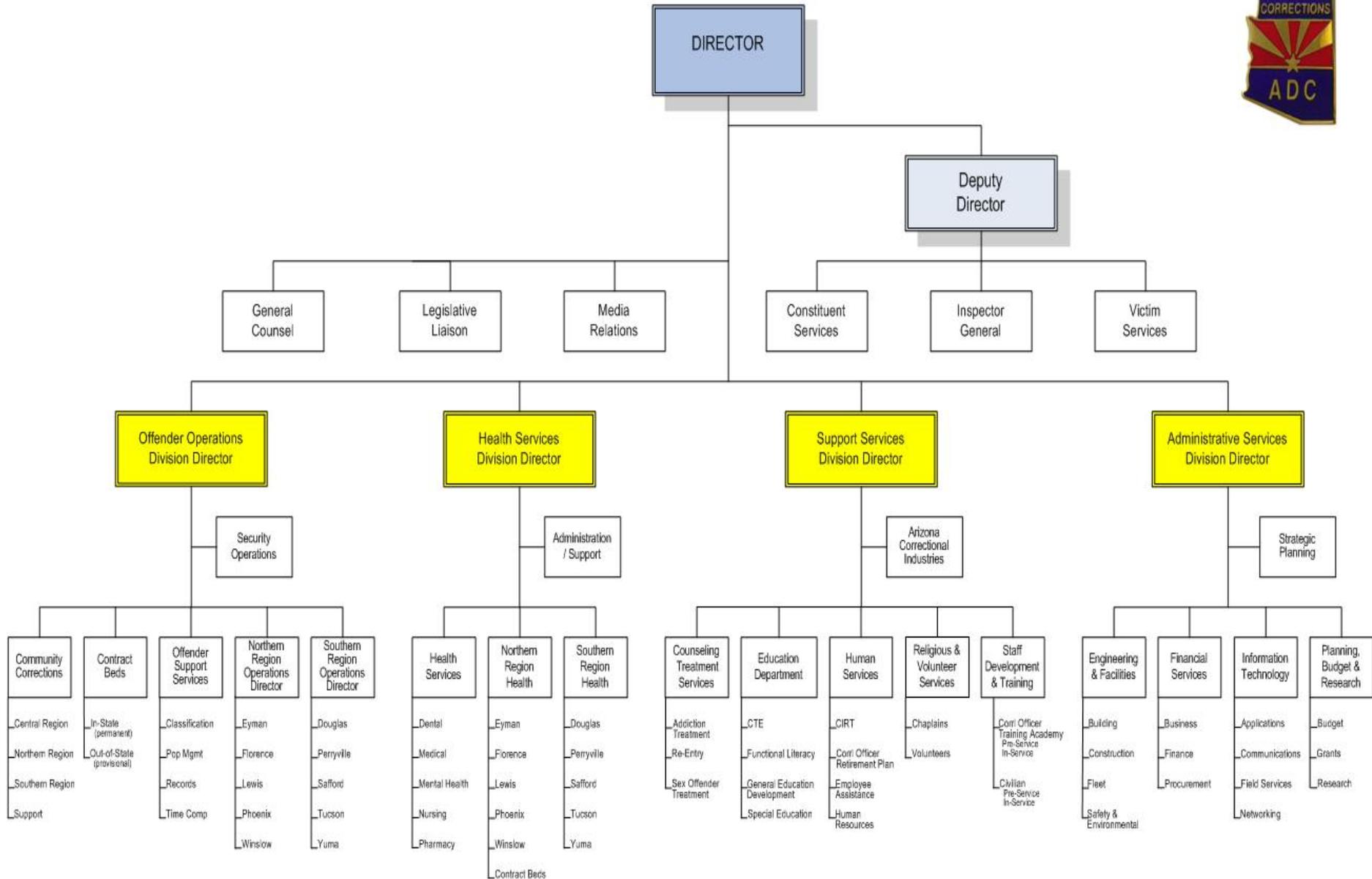
- **Close Custody:** Inmates representing a high risk to public and staff. May not work outside the secured perimeter of a facility unless under armed supervision and require controlled movement within their facility.
 - About 52% have been sentenced to violent crimes which consist of, but not limited to: Assaults, Robbery, Kidnapping, Murder and Weapons; about 48% consist of: Drug Offenses, Theft and Burglary
 - Average length of sentence is 10.6 years
 - 22.4% have security threat group affiliations
 - History of institutional violence: 1.5 violent disciplines per inmate
- **Maximum Custody:** Inmates representing the highest risk to public and staff. They are housed in a celled setting. They have limited work opportunities within the secured perimeter of a facility and must work under armed escort and restraints outside the facility. They must be escorted in full restraints within the facility when not in a cell.
 - About 65% have been sentenced to violent crimes which consist of, but not limited to: Assaults, Robbery, Kidnapping, Murder and Weapons; about 35% consist of: Drug Offenses, Theft and Burglary
 - Average length of sentence is 13.2 years
 - 34.9% have security threat group affiliations
 - History of institutional violence: 2.5 violent disciplines per every 1 inmate

Department of Corrections Authority

The Department of Corrections (Department) was established pursuant to Laws 1968, Chapter 198 (A.R.S. §41-1601, et. seq.) by consolidating independently operated prisons into a single department and authorizing the Department to “provide the supervisory staff and administrative functions at the state level of all matters relating to the institutionalization, rehabilitation and community supervision functions of all adult offenders.”

In carrying out this duty, the Department shall:

1. Maintain and administer facilities and programs for the custody, control, correction, treatment, and rehabilitation of all adult offenders who are committed to the Department. (A.R.S. § 41-1604(A)(2))
2. Hold in custody all persons sentenced to the Department under the law and shall hold such persons for the term directed by the court, subject to law. (A.R.S. § 31-201.01(A))
3. Have a prisoner labor classification system for able-bodied prisoners to engage in hard labor. (A.R.S. § 31-251)
4. Provide qualifying prisoners:
 - Functional Literacy program, (A.R.S. § 31-229)
 - Special Education services, (A.R.S. § 15-764)
 - Law/Library Services, (1st and 14th Amendment Rights to Access to the Courts)
 - Alcohol Abuse Treatment and Rehabilitation Services, (A.R.S. § 31-255)
 - Transition Programming for non-violent drug offenders, (A.R.S. §§ 31-281 through 31-286)
 - Relapse prevention through a “Teaching Offenders to Live” program, and (A.R.S. § 41-1609.06)
 - Moral and religious instruction. (A.R.S. § 31-206)
5. Provide prisoners Medical and Health care. (A.R.S. 31-201.01(D))
6. Provide Psychiatric care and treatment by transferring prisoners displaying symptoms of mental disorder to the state hospital or a licensed behavioral health treatment facility operated by the state Department of corrections if the prisoner is a female, to a licensed behavioral health or mental health inpatient treatment facility operated by the State Department of Corrections if the prisoner is an adult male or to the state hospital if the prisoner is a minor. (A.R.S. § 31-226(A))
7. Be responsible for the administration and execution of all community supervision services, including those for adult offenders who are released in accordance with law. (A.R.S. § 41-1604(A)(3))
8. Provide victim services. (A.R.S. §§ 13-4411.01, 13-4412, 13-4413, and 31-230)



Department of Corrections Organizational Overview

Prison Operations and Services: The Department's Prison Operations and Services program ensures public and staff safety by imprisoning inmates, providing inmate programming opportunities, providing constitutionally mandated health care, and administering prison operations in an environment that is secure and humane.

- **Security Operations** is responsible for implementation and oversight of operational areas of inmate accountability; key control; security/facility inspections; inmate regulations; inmate transportation; emergency preparedness; incident management; inmate escape prevention/response; searches; substance abuse detection; interdiction and control; execution procedures; inmate death or hospitalization notification/disposition; tool and restricted product control; inmate levels of supervision; armory procedures; and security systems. It also includes evaluating and allocating security staff and providing for their in-service training; implementing gang management strategies; developing operational intelligence (acquisition, analysis, storage, dissemination); and enhancing security and safety measures through utilization of service dog resources and security technology transfer and product review.
- **Inspections and Investigations** conducts background and administrative investigations in support of the hiring and retention of professional staff through enforced policy compliance; conducts investigations into criminal acts and civil violations committed by inmates, staff, or others, to support successful prosecution and/or effective applications of discipline; develops intelligence, and investigates Security Threat Group activity to support management of inmates and the safe operation of institutions; conducts performance based inspections to monitor and report policy compliance in all state and privately contracted facilities; conducts policy oversight, revision, and administration on behalf of agency approving authorities.
- **Inmate Education, Treatment and Work Programs** establishes structured access to work, education, substance abuse treatment, sex offender treatment, and spiritual services to improve the offender's successful reintegration into the community in accordance with Department goals, mandates, and statutes.
- **Health Care Services** provides medical, dental, mental health, nursing and pharmaceutical services through licensed ADC health staff and contracts with community hospitals and specialists.
- **Private Prison Operations** manages all aspects of private prison contracts including proposal evaluation, contract negotiations, and contract maintenance and monitoring functions. This subprogram works with private prison firms to ensure comparable confinement and program services are provided to all Arizona state inmates regardless of location. Oversight of private prisons is provided by Department staff who monitor facility operations, policy and contract compliance, inmate management, inmate services, disciplinary and grievance processes, clearance of contractor personnel and facility visitors, and payment of fees consistent with the terms outlined in individual facility and service contracts.

Department of Corrections Organizational Overview continued

- **Prison Management and Support** oversees prison operations, Regional Operations Directors, and Wardens and their immediate staff; administers prison budgets and staffing/safety programs; manages prison activation; and directs centralized operational systems and services. This subprogram includes fiscal management, commissary management, fire and safety, food service, warehouse, and maintenance. This subprogram is also responsible for inmate classification, protective segregation, time computation and records, legal access, and family assistance services.

Community Corrections: The Department's Community Corrections program is charged with collaboration of the release process of inmates, supervising offenders on community supervision, referring offenders to community resources and identifying and returning offenders to prison who violate conditions of supervision and represent a serious threat to public safety. The program refers to law enforcement and prosecutorial agencies sex offenders subject to registration, community notification and sexually violent person laws; coordinates sex offender registration prior to release; assists in the apprehension, extradition and transportation of fugitives; completes due process on all offenders returned to custody; represents the Department at revocation hearings conducted by the Board of Executive Clemency; conducts administrative hearings; provides criminal history information to authorized criminal justice agencies; manages the implementation of the Interstate Compact for the Supervision of Adult Inmates and Offenders (parolees); collaborates with state and community agencies; and interacts with individual victims and victim associations.

Administrative and Support Services: The Department's Administrative and Support Services determines current policy and future direction of the Department through the following functional areas legal services, legislative affairs, public and internal communications, constituent services, victims services, policy promulgation, human services, employee relations, equal opportunity, employee grievances and disciplinary actions, training and employee development, budgeting, planning, research, engineering and physical plant services, fleet management, financial and procurement services, and information technology services.

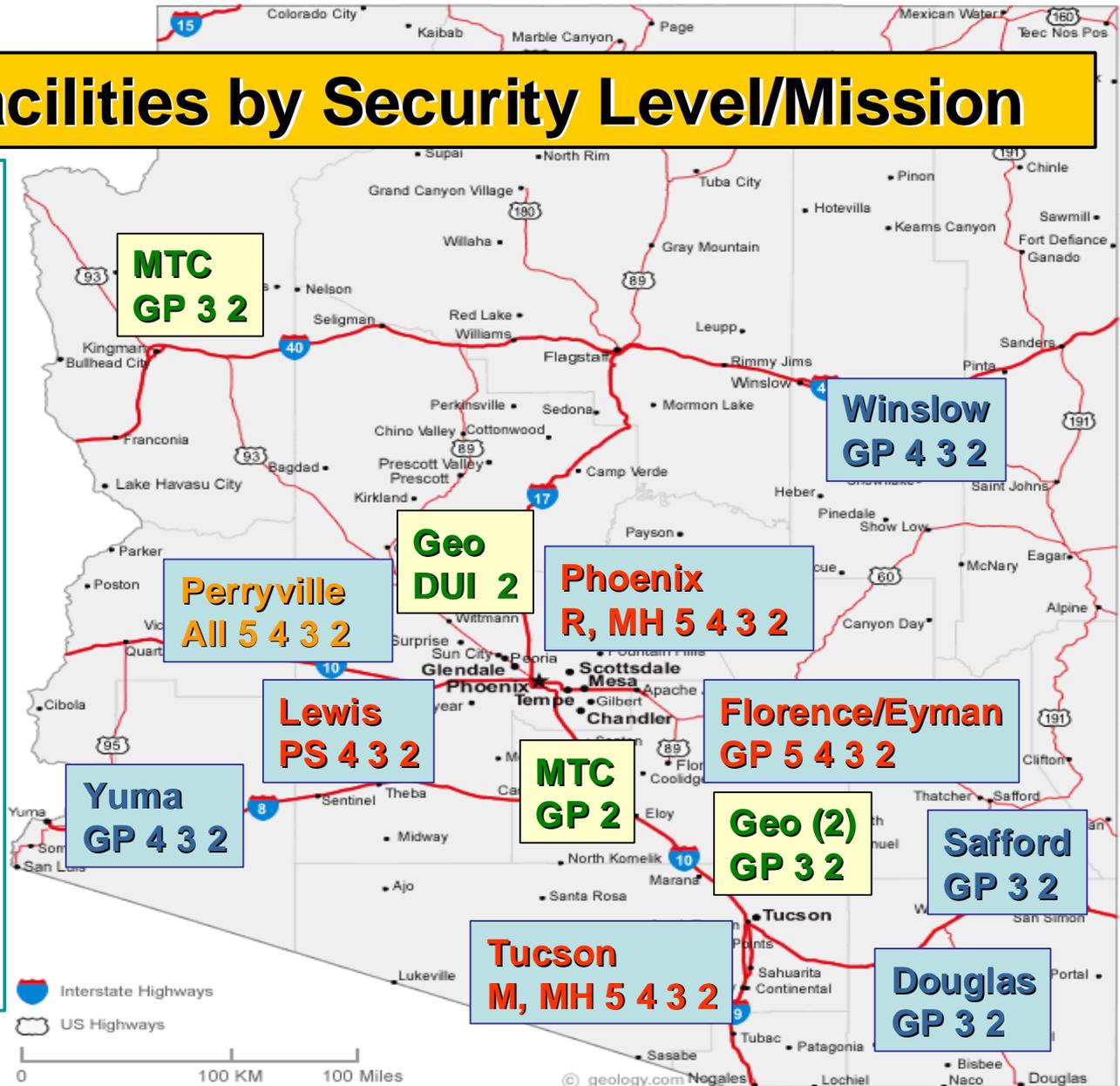
ADC Facilities by Security Level/Mission

DUI = DUI
GP = General Population
M = Medical
MH = Mental Health
PS = Protective Segregation
R = Reception

Physical Security Levels:
5 = Highest (maximum)
4 = High (close)
3 = Moderate (medium)
2 = Low (minimum)

ADC Owned & Operated Prison

ADC Contracted Private Prison



ADC INSTITUTIONAL CAPACITY & COMMITTED POPULATION
for the MONTH ENDING JUNE 30, 2011

Rated Beds + Temporary Beds = Operating Capacity (R+T=OC)	Operating Capacity								Total Operating Capacity Beds (A-H)	Special Use Beds	Inmate Population in Operating Beds	Inmate Population in Special Beds	Total Inside Inmate Population
	MAX Beds		CLOSE Beds		MED Beds		MIN Beds						
	Rated (A)	Temp. (B)	Rated (C)	Temp. (D)	Rated (E)	Temp. (F)	Rated (G)	Temp. (H)					
Arizona State Prison													
Douglas	0	0	0	0	803	124	1,252	505	2,684	89	2,447	75	2,522
Eyman	1,632	312	400	0	1,992	795	0	0	5,131	264	4,530	220	4,750
Florence	1,074	0	0	0	1,144	547	1,426	248	4,439	96	4,393	80	4,473
Lewis	0	0	2,004	0	1,600	478	1,000	152	5,234	275	4,596	249	4,845
Perryville (Female)	228	72	298	0	960	0	2,716	0	4,274	60	3,399	25	3,424
Phoenix*	247	137	125	0	150	0	30	25	714	9	516	4	520
Safford	0	0	0	0	250	81	1,203	385	1,919	55	1,807	34	1,841
Tucson*	36	0	1,073	30	1,886	643	1,754	0	5,422	291	5,176	246	5,422
Winslow	0	0	0	0	800	0	826	216	1,842	51	1,583	19	1,602
Yuma	0	0	800	0	2,050	324	1,500	80	4,754	175	4,300	134	4,434
Total Rated Beds	3,217		4,700		11,635		11,707		31,259				
Total Temporary Beds		521		30		2,992		1,611	5,154				
ASPC Total									36,413	1,365	32,747	1,086	33,833
Contracted In-State Private Prisons													
Central AZ Correc. Facility (GEO)	0	0	0	0	1,000	280	0	0	1,280	40	1,262	15	1,277
Florence-West (GEO)	0	0	0	0	0	0	600	150	750	25	725	9	734
Phoenix-West (GEO)	0	0	0	0	0	0	400	100	500	20	489	9	498
Kingman (MTC)	0	0	0	0	1,400	108	2,000	0	3,508	153	2,786	96	2,882
Marana (MTC)	0	0	0	0	0	0	450	50	500	10	490	2	492
In-State Private Total	0	0	0	0	2,400	388	3,450	300	6,538	248	5,752	131	5,883
ALL TOTAL	3,217	521	4,700	30	14,035	3,380	15,157	1,911	42,951	1,613	38,499	1,217	39,716
Out to Court/Out to Medical													465
TOTAL PRISON INMATE POPULATION													40,181
COMMUNITY SUPERVISION OFFENDERS													5,808
ADC TOTAL													45,989

*ASPC-Phoenix has 20 Rated Female Beds/2 Female Special Use Beds; ASPC-Tucson/SACRC has 144 Rated Female Beds/2 Special Use Female Beds

ADC CENSUS

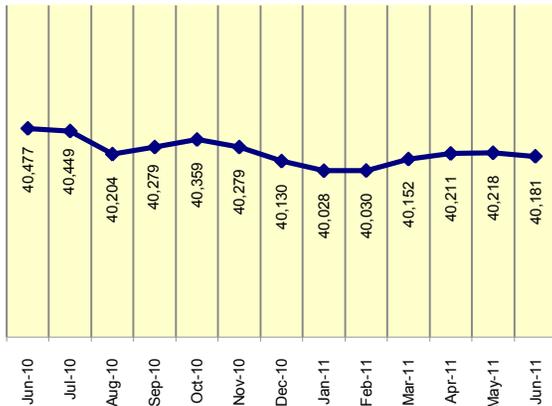
FACILITY	OPERATING CAP.*	POP.
ASPC-Douglas	2,684	2,566
ASPC-Eyman	5,131	4,826
ASPC-Florence	4,439	4,511
ASPC-Lewis	5,234	4,920
ASPC-Perryville	4,274	3,466
ASPC-Phoenix	714	529
ASPC-Safford	1,919	1,854
ASPC-Tucson	5,422	5,473
ASPC-Winslow	1,842	1,643
ASPC-Yuma	4,754	4,478
In-State Private Prisons	6,538	5,915
PRISON TOTAL	42,951	40,181
COMMUNITY SUPERVISION OFFENDERS		5,808
ADC TOTAL		45,989

*Operating Capacity Beds = Rated Beds + Temporary Beds

INMATE BED CAPACITY & POPULATION DETAIL

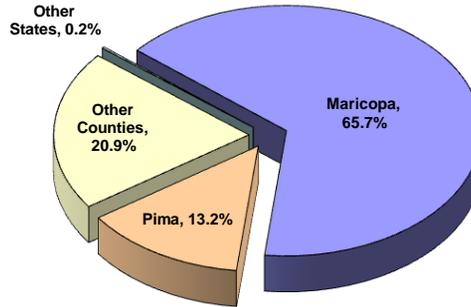
CATEGORY	MALE	FEMALE	TOTAL
Operating Capacity Beds	38,513	4,438	42,951
Inmate Population	36,568	3,613	40,181
Bed Surplus / (Deficit)	1,945	825	2,770
Month's Admissions	1,302	217	1,519
Month's Releases	1,337	219	1,556
Month's Growth	(35)	(2)	(37)

MONTHLY POPULATION CHANGE

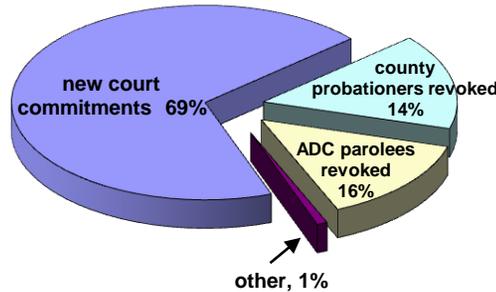


Snap-shot of Population on Last Day of Each Month

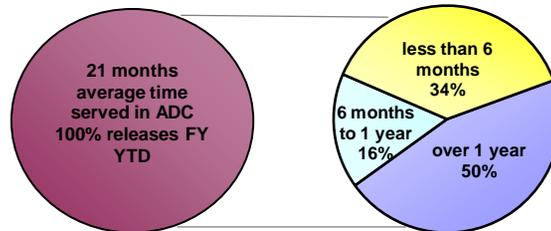
COMMITTED POPULATION BY COUNTY OF CONVICTION



ADC HAS ADMITTED 18,759 INMATES FY 2011 YEAR TO DATE



ADC HAS RELEASED 19,055 INMATES FY 2011 YEAR TO DATE



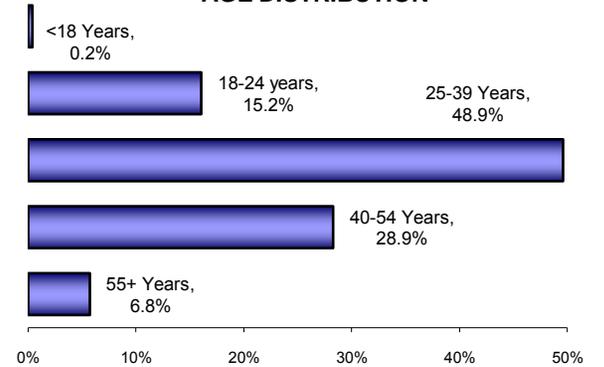
SPECIAL POPULATION GROUPS

SENTENCE TYPE	MALE	FEMALE	TOTAL
Death Row	125	3	128
Minors	88	5	93
Veterans	2,912	37	2,949

CITIZENSHIP / GENDER DISTRIBUTION

	MALE	FEMALE	TOTAL	%
US Citizens	31,255	3,472	34,727	86.4%
Crim. Aliens	5,313	141	5,454	13.6%
TOTAL	36,568	3,613	40,181	100.0%
%	91.0%	9.0%	100.0%	

AGE DISTRIBUTION



ETHNIC DISTRIBUTION

